

Beyond Word Importance: Contextual Decomposition to Extract Interactions from LSTMs

Presenter: Arshdeep Sekhon

<https://qdata.github.io/deep2Read>

W. James Murdoch, Peter J. Liu, Bin Yu

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Introduction

- ▶ LSTM interpretation model
- ▶ extracts information about not only which words contributed to an LSTM's prediction
- ▶ also how they were combined in order to yield the final prediction
- ▶ mathematically decomposing the LSTM's output, able to disambiguate the contributions made at each step by different parts of the sentence.

Method: LSTM Decomposition

LSTM equations:

$$o_t = \sigma(W_o x_t + V_o h_{t-1} + b_o) \quad (1)$$

$$f_t = \sigma(W_f x_t + V_f h_{t-1} + b_f) \quad (2)$$

$$i_t = \sigma(W_i x_t + V_i h_{t-1} + b_i) \quad (3)$$

$$g_t = \tanh(W_g x_t + V_g h_{t-1} + b_g) \quad (4)$$

$$c_t = f_t \odot c_{t-1} + i_t \odot g_t \quad (5)$$

$$h_t = o_t \odot \tanh(c_t) \quad (6)$$

After processing the full sequence, the final state h_T used as input to a linear layer + SoftMax (multinomial logistic regression), to return a probability distribution p over C classes, with

$$p_j = \text{SoftMax}(Wh_T)_j = \frac{\exp(W_j h_T)}{\sum_{k=1}^C \exp(W_k h_T)} \quad (7)$$

Contextual Decomposition

Given an arbitrary phrase x_q, \dots, x_r , where $1 \leq q \leq r \leq T$, decompose each output h_t and cell state c_t

$$h_t = \beta_t + \gamma_t \quad (8)$$

$$c_t = \beta_t^c + \gamma_t^c \quad (9)$$

β_t corresponds to contributions made solely by the given phrase to h_t , and that γ_t corresponds to contributions involving, at least in part, elements outside of the phrase. Similarly, β_t^c and γ_t^c . final output state Wh_T :

$$p = \text{SoftMax}(W\beta_T + W\gamma_T) \quad (10)$$

Contextual Decomposition

$$i_t = \sigma(W_i x_t + V_i h_{t-1} + b_i) \quad (11)$$

$$= L_\sigma(W_i x_t) + L_\sigma(V_i h_{t-1}) + L_\sigma(b_i) \quad (12)$$

$$f_t \odot c_{t-1} = (L_\sigma(W_f x_t) + L_\sigma(V_f \beta_{t-1}) + L_\sigma(V_f \gamma_{t-1}) + L_\sigma(b_f)) \odot (\beta_{t-1}^c + \gamma_{t-1}^c) \quad (13)$$

$$= ([L_\sigma(W_f x_t) + L_\sigma(V_f \beta_{t-1}) + L_\sigma(b_f)] \odot \beta_{t-1}^c) \quad (14)$$

$$\begin{aligned} &+ (L_\sigma(V_f \gamma_{t-1}) \odot \beta_{t-1}^c + f_t \odot \gamma_{t-1}^c) \\ &= \beta_t^f + \gamma_t^f \quad (15) \end{aligned}$$

Contextual Decomposition

$$i_t \odot g_t = [L_\sigma(W_i x_t) + L_\sigma(V_i \beta_{t-1}) + L_\sigma(V_i \gamma_{t-1}) + L_\sigma(b_i)] \quad (16)$$

$$\begin{aligned} & \odot [L_{\tanh}(W_g x_t) + L_{\tanh}(V_g \beta_{t-1}) + L_{\tanh}(V_g \gamma_{t-1}) + L_{\tanh}(b_g)] \\ &= [L_\sigma(W_i x_t) \odot [L_{\tanh}(W_g x_t) + L_{\tanh}(V_g \beta_{t-1}) + L_{\tanh}(b_g)] \\ & \quad (17) \\ & \quad + L_\sigma(V_i \beta_{t-1}) \odot [L_{\tanh}(W_g x_t) + L_{\tanh}(V_g \beta_{t-1}) + L_{\tanh}(b_g)] \\ & \quad + L_\sigma(b_i) \odot [L_{\tanh}(W_g x_t) + L_{\tanh}(V_g \beta_{t-1})]] \\ & \quad + [L_\sigma(V_i \gamma_{t-1}) \odot g_t + i_t \odot L_{\tanh}(V_g \gamma_{t-1}) - L_\sigma(V_i \gamma_{t-1}) \odot L_{\tanh}(\\ & \quad + L_\sigma(b_i) \odot L_{\tanh}(b_g))] \\ &= \beta_t^u + \gamma_t^u \quad (18) \end{aligned}$$

Cotextual Decomposition

$$\beta_t^c = \beta_t^f + \beta_t^u \quad (19)$$

$$\gamma_t^c = \gamma_t^f + \gamma_t^u \quad (20)$$

$$h_t = o_t \odot \tanh(c_t) \quad (21)$$

$$= o_t \odot [L_{\tanh}(\beta_t^c) + L_{\tanh}(\gamma_t^c)] \quad (22)$$

$$= o_t \odot L_{\tanh}(\beta_t^c) + o_t \odot L_{\tanh}(\gamma_t^c) \quad (23)$$

$$= \beta_t + \gamma_t \quad (24)$$

Linearization of Activation functions

$$g_t = \tanh(W_g x_t + V_g h_{t-1} + b_g) \quad (25)$$

Required:

$$g_t = L_{\tanh}(W_g x_t) + L_{\tanh}(V_g h_{t-1}) + L_{\tanh}(b_g) \quad (26)$$

$$\tanh\left(\sum y_i\right) = \left(\sum L_{\tanh}(y_i)\right) \quad (27)$$

Linearization of Activation functions

summarization of partial sums as a linearization technique if y_1, \dots, y_n are ordered

$$L'_{\tanh}(y_k) = \tanh\left(\sum_{j=1}^k y_j\right) - \tanh\left(\sum_{j=1}^{k-1} y_j\right) \quad (28)$$

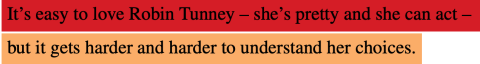
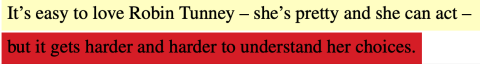
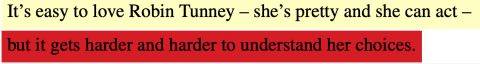
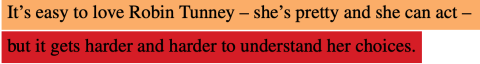
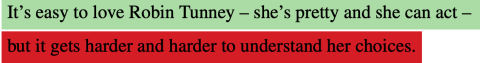
But no ordering, compute an average over all orderings

$$L_{\tanh}(y_k) = \frac{1}{M_N} \sum_{i=1}^{M_N} \left[\tanh\left(\sum_{j=1}^{\pi_i^{-1}(k)} y_{\pi_i(j)}\right) - \tanh\left(\sum_{j=1}^{\pi_i^{-1}(k)-1} y_{\pi_i(j)}\right) \right] \quad (29)$$

This linearization technique is an approximation to the Shapley Values.(?)

Experiments: Stanford Sentiment Tree Bank

- Unigram Word Scores: Correlation with the logistic regression coefficient

| Attribution Method | Heat Map |
|--|--|
| Gradient |  |
| Leave one out (Li et al., 2016) |  |
| Cell decomposition (Murdoch & Szlam, 2017) |  |
| Integrated gradients (Sundararajan et al., 2017) |  |
| Contextual decomposition |  |






Legend  Very Negative  Negative  Neutral  Positive  Very Positive

Table 2: Heat maps for portion of review from SST with different attribution techniques. Only CD captures that the first phrase is positive.

cdpositive

Identifying Dissenting Subphrases

- ▶ "used to be my favorite"
- ▶ favorite is positive, used to be is negative

| Attribution Method | Heat Map | | | | | | | | | |
|--|----------|----|----|----|----------|-----|-------|-----|------|--|
| Gradient | used | to | be | my | favorite | not | worth | the | time | |
| Leave One Out (Li et al., 2016) | used | to | be | my | favorite | not | worth | the | time | |
| Cell decomposition (Murdoch & Szlam, 2017) | used | to | be | my | favorite | not | worth | the | time | |
| Integrated gradients (Sundararajan et al., 2017) | used | to | be | my | favorite | not | worth | the | time | |
| Contextual decomposition | used | to | be | my | favorite | not | worth | the | time | |

Legend Very Negative Negative Neutral Positive Very Positive

Table 1: Heat maps for portion of yelp review with different attribution techniques. Only CD captures that "favorite" is positive.

Contextual Decomposition Captures Negation

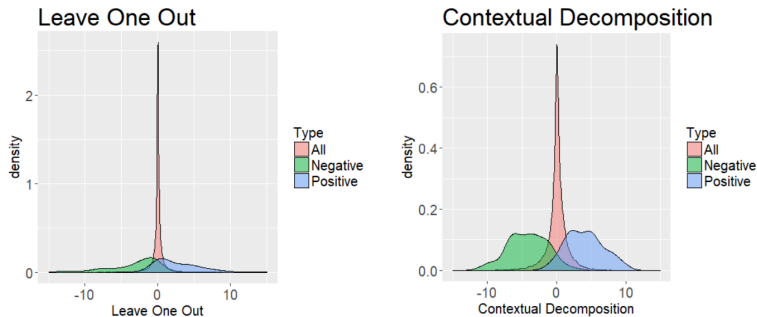


Figure 1: Distribution of scores for positive and negative negation coefficients relative to all interaction coefficients. Only leave one out and CD are capable of producing these interaction scores.

Identifying Similar Phrases

- ▶ Compare Dense embeddings β_T average for phrases across the training set and validation set
- ▶ Get nearest neighbors

| not entertain- ing | not bad | very funny | entertaining | bad |
|-------------------------------|-----------------|-----------------------------|---------------------|------------|
| not funny | never dull | well-put- together piece | intelligent | dull |
| not engaging | n't drag | entertaining romp | engaging | drag |
| never satisfac- tory | never fails | very good | satisfying | awful |
| not well | without sham | surprisingly sweet | admirable | tired |
| not fit | without missing | very well- written | funny | dreary |

Table 3: Nearest neighbours for selected unigrams and interactions using CD embeddings