

UVA CS 6316: Machine Learning : 2019 Fall

Course Project: Deep2Reproduce @

<https://github.com/qiyanjun/deep2reproduce/tree/master/2019Fall>

Decision Boundary Analysis of Adversarial Examples

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Motivation

- Deep neural networks (**DNNs**) **are vulnerable** to adversarial examples, which are carefully crafted instances aiming to cause prediction errors for DNNs.
- Recent defending technique on adversarial examples is **not enough**: examining local neighborhoods in the input space of DNN models, previous work has limited what regions to consider, focusing either on low-dimensional subspaces or small balls.

Background

- **Adversarial examples:** are slightly perturbed versions of correctly classified input instances, which are misclassified.
- The amount of perturbation used to generate an adversarial example from the original input instance is called the example's **distortion**.

Defense against adversarial examples:

- **Adversarial training** with examples generated by projected gradient descent (PGD);
- **Region classification**, takes the majority prediction on several slightly perturbed versions of an input, uniformly sampled from a hypercube around it. In contrast, classifying only the input instance can be referred to as **point classification**.

Related Work

- Liu et al. (2017) and Tramèr et al. (2017) examine limited regions around benign samples to study why some adversarial examples transfer across different models.
- Madry et al. (2017) explore regions around benign samples to validate the robustness of an adversarially trained model.
- Tabacof & Valle (2016) examine regions around adversarial examples to estimate the examples' robustness to random noise.
- Cao & Gong (2017) determine that considering the region around an input instance produces more robust classification than looking at the input instance alone as a single point.

Limitations:

- focus on low-dimensional subspaces around a model's gradient direction.
- explore many directions, but they focus on a small ball.

Claim / Target Task

Information from larger neighborhoods—both in more directions and at greater distances—will better help us understand adversarial examples in high-dimensional datasets.

An Intuitive Figure Showing WHY Claim

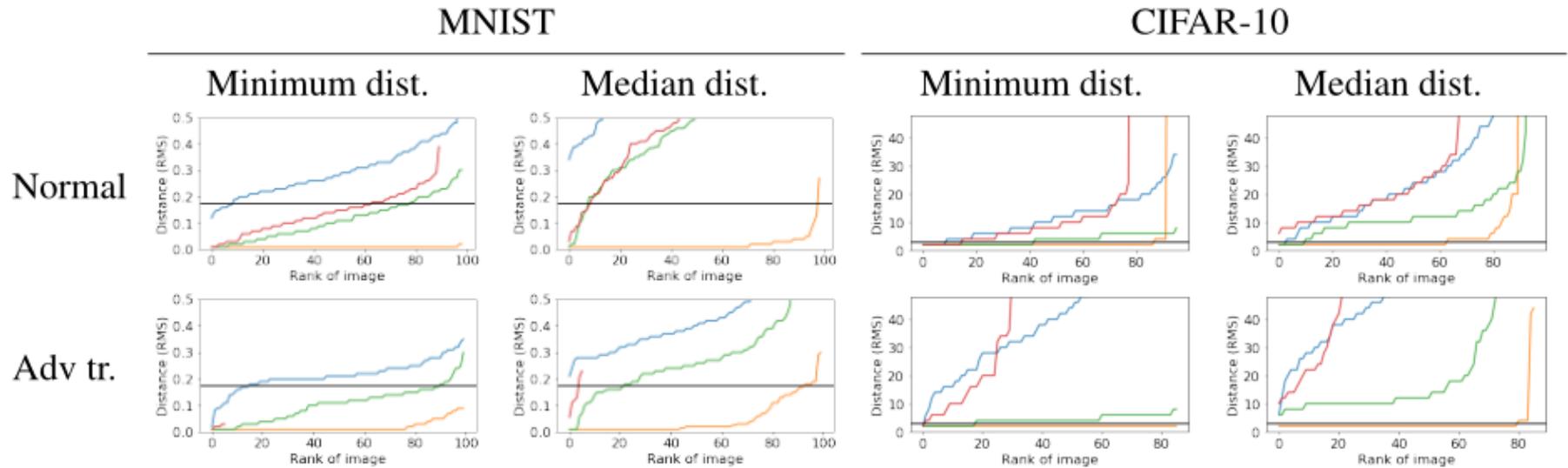


Figure 2: Minimum and median decision boundary distances across random directions, for a sample of images. **Blue**: Benign. **Red**: FGSM. **Green**: OPTMARGIN (ours). **Orange**: OPTBRITTLE. Each statistic is plotted in ascending order. A black line is drawn at the expected distance of images sampled by region classification.

No simple threshold on any one of these statistics accurately separates benign examples (blue) from OPT MARGIN examples (green).

Proposed Solution

- ◆ Demonstrate OPT-MARGIN, a new attack that evades region classification systems with low-distortion adversarial examples.
- ◆ Analyze a larger neighborhood around input instances by looking at properties of surrounding decision boundaries, namely the distances to the boundaries and the adjacent classes.
- ◆ Train a classifier to differentiate the decision boundary information that comes from different types of input instances

Implementation

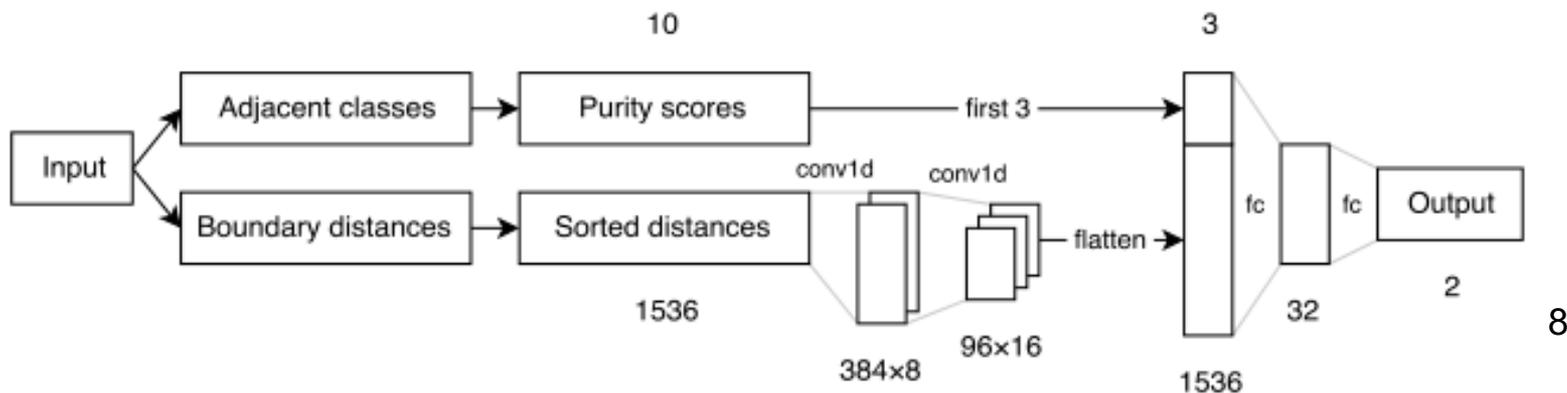
Dataset:

- MNIST, consisting of black-and-white handwritten digits (LeCun, 1998)
- CIFAR-10, consisting of small color pictures (Krizhevsky & Hinton, 2009)
- *a small subset of ImageNet (additionally)*

Model Training:

- MNIST: CNN, both normal and with PGD $-L_\infty$ perturbation limit of 0.3
- CIFAR-10: ResNet, bot normal and with PGD $-L_\infty$ perturbation limit of 8

New d



Opt-margin Approach

$$\text{minimize } \|x' - x\|_2^2 + c \cdot (\ell_1(x') + \dots + \ell_n(x'))$$

Let $Z(x)$ refer to the $|C|$ -dimensional vector of class weights, in logits, that f internally uses to classify image x . As in [Carlini & Wagner's \$L_2\$ attack \(2017b\)](#), we define a loss term for each model in our ensemble:

$$\ell_i(x') = \ell(x' + v_i) = \max(-\kappa, Z(x' + v_i)_y - \max\{Z(x' + v_i)_j : j \neq y\})$$

$$v_{20} = 0$$

Data Summary

Examples	MNIST				CIFAR-10			
	Normal		Adv tr.		Normal		Adv tr.	
OPTBRITTLE	100%	0.0732	100%	0.0879	100%	0.824	100%	3.83
OPTMARGIN (ours)	100%	0.158	100%	0.168	100%	1.13	100%	4.08
OPTSTRONG	100%	0.214	28%	0.391	100%	2.86	73%	37.4
FGSM	91%	0.219	6%	0.221	82%	8.00	36%	8.00

Table 1: Success rate (%) and average distortion (RMS) of adversarial examples generated by different attacks. On MNIST, the level of distortion in OPTMARGIN examples is visible to humans, but the original class is still distinctly visible (see Figure 5 in the appendix for sample images).

Examples	MNIST				CIFAR-10			
	Region cls.		Point cls.		Region cls.		Point cls.	
	Normal	Adv. tr.	Normal	Adv. tr.	Normal	Adv. tr.	Normal	Adv. tr.
Benign	99%	100%	99%	100%	93%	86%	96%	86%
FGSM	16%	54%	9%	94%	16%	55%	17%	55%
OPTBRITTLE	95%	89%	0%	0%	71%	79%	0%	0%
OPTMARGIN (ours)	1%	10%	0%	0%	5%	5%	0%	6%

Table 2: Accuracy of region classification and point classification on examples from different attacks. More effective attacks result in lower accuracy. The attacks that achieve the lowest accuracy for each configuration of defenses are shown in bold. We omit comparison with OPTSTRONG due to its disproportionately high distortion and low attack success rate.

Data Summary

		MNIST										
Table differen- but th 4 2 0 Rc	N/A	Benign										
	Normal	OPTBRITTLE										
	Normal	OPTMARGIN										
	Normal	OPTSTRONG										
	Normal	FGSM										
	Adv. tr.	OPTBRITTLE										
	Adv. tr.	OPTMARGIN										
	Adv. tr.	OPTSTRONG										
	Adv. tr.	FGSM										

Table 2. Accuracy of region classification and point classification on examples from different attacks. More effective attacks result in lower accuracy. The attacks that achieve the lowest accuracy for each configuration of defenses are shown in bold. We omit comparison with OPTSTRONG due to its disproportionately high distortion and low attack success rate.

Experimental Analysis

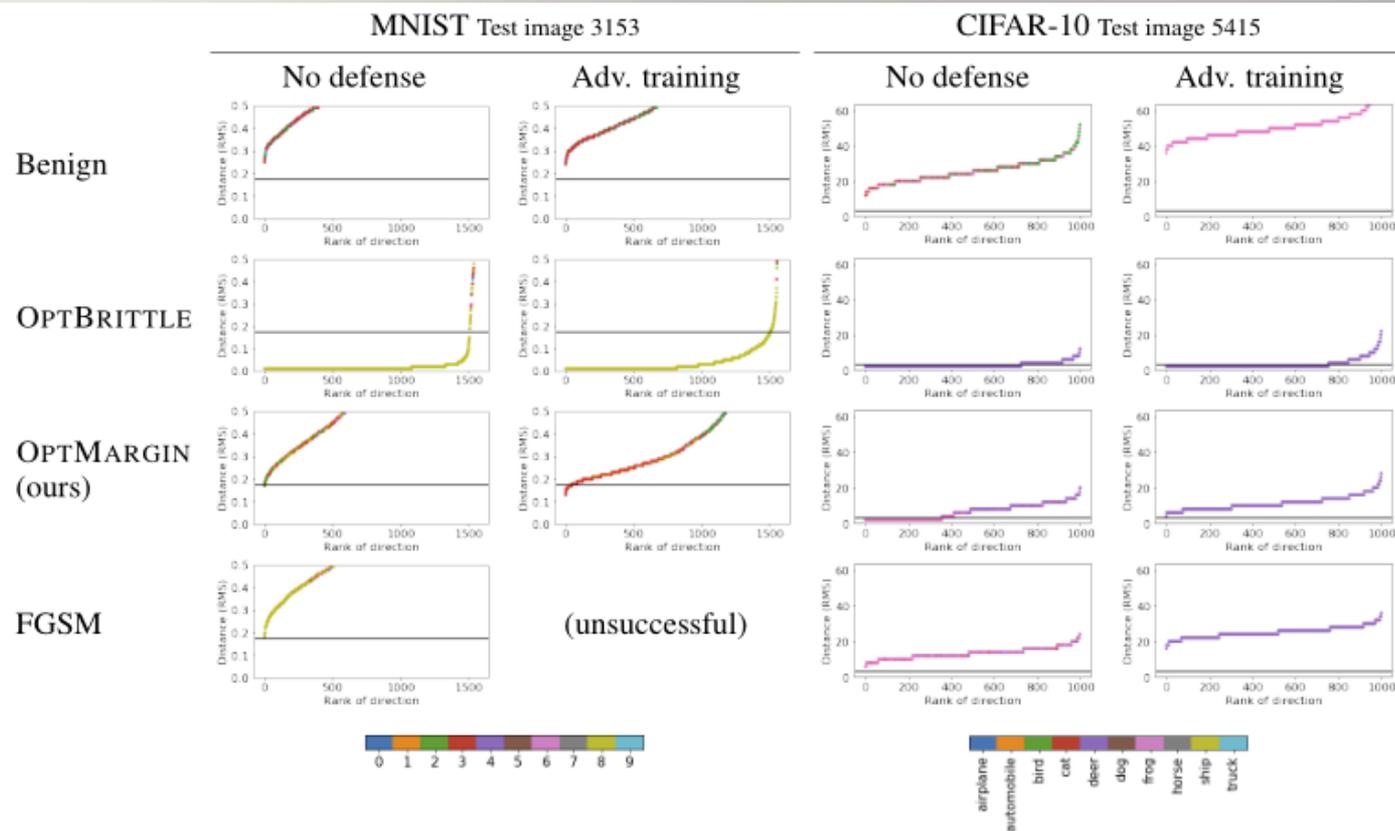


Figure 1: Decision boundary distances (RMS) from single sample images, plotted in ascending order. Colors represent the adjacent class to an encountered boundary. A black line is drawn at the expected distance of an image sampled during region classification. Results are shown for models with normal training and models with PGD adversarial training. For MNIST, original example correctly classified 8 (yellow); OPTBRITTLE and OPTMARGIN examples misclassified as 5 (brown); FGSM example misclassified as 2 (green). For CIFAR-10, original example correctly classified as DEER (purple); OPTBRITTLE, OPTMARGIN, and FGSM examples misclassified as HORSE (gray).

Experimental Analysis

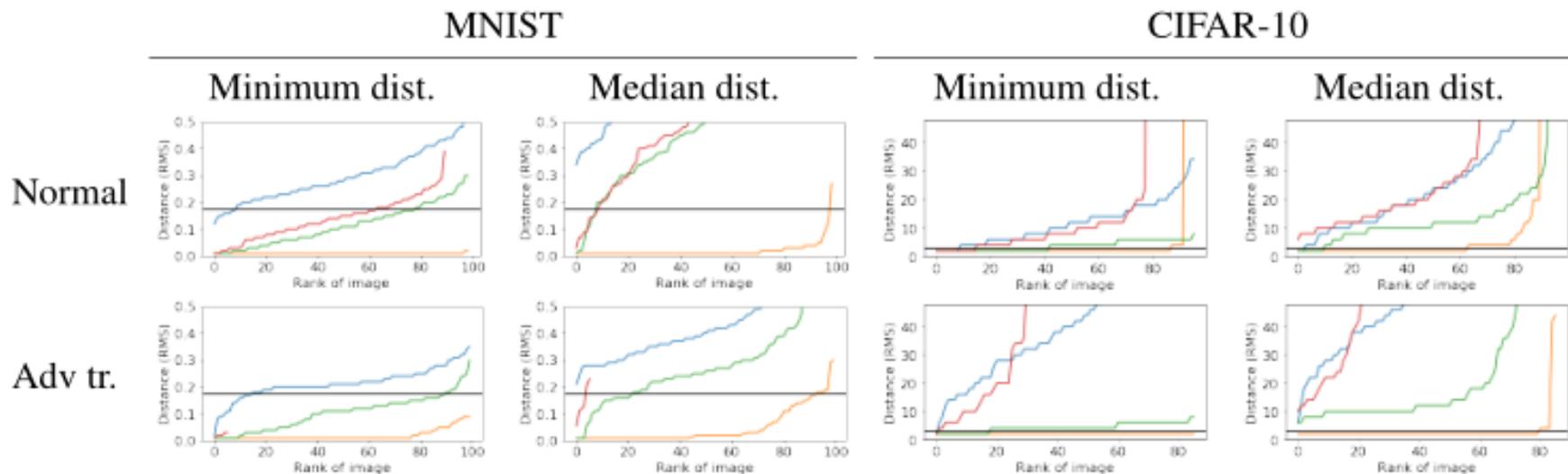


Figure 2: Minimum and median decision boundary distances across random directions, for a sample of images. **Blue**: Benign. **Red**: FGSM. **Green**: OPTMARGIN (ours). **Orange**: OPTBRITTLE. Each statistic is plotted in ascending order. A black line is drawn at the expected distance of images sampled by region classification.

- No simple threshold on any one of these statistics accurately separates benign examples (blue) from OPTMARGIN
- The effect of PGD adversarial training on the robustness of benign examples to random perturbations is not universally beneficial nor harmful.

Experimental Analysis

Adversarial examples generated by OPT MARGIN and FGSM are much harder to distinguish from benign examples in this metric.

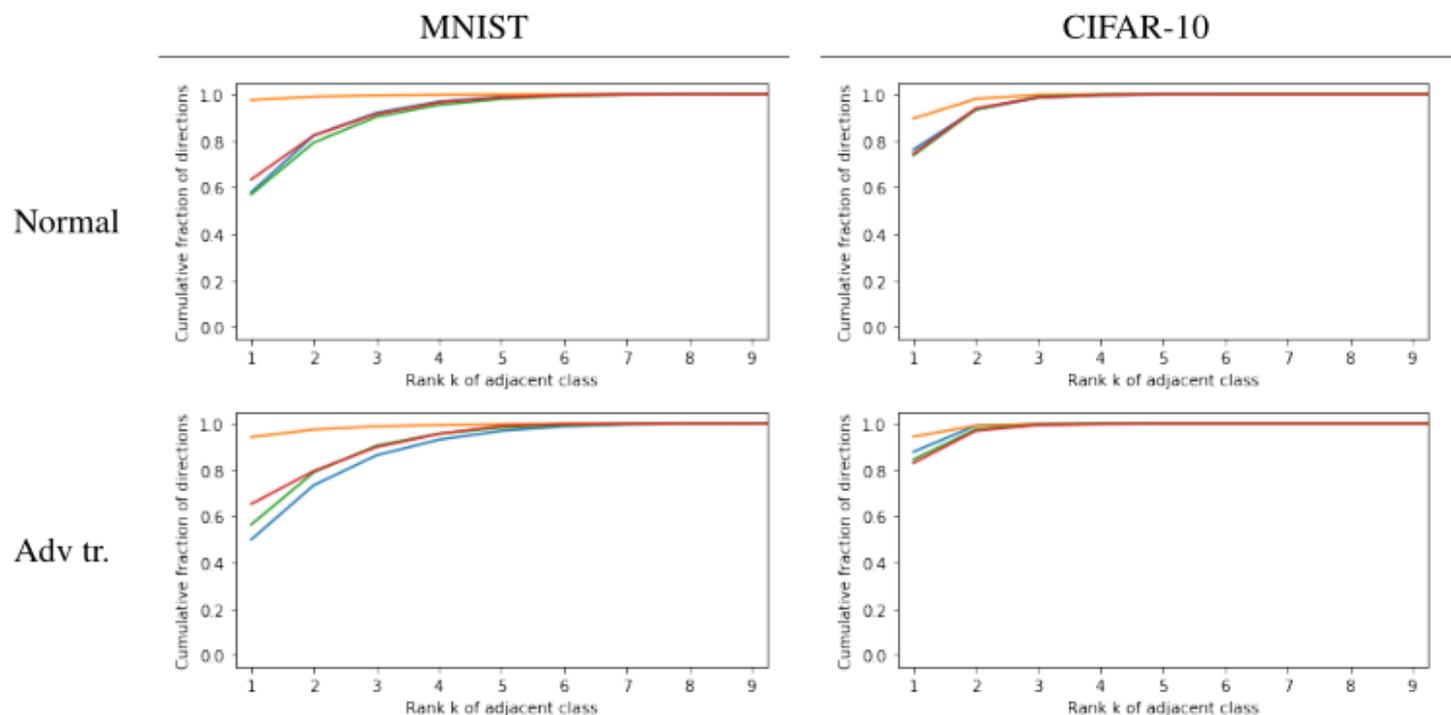


Figure 3: Average purity of adjacent classes around benign and adversarial examples. **Orange:** OPTBRITTLE. **Red:** FGSM. **Green:** OPTMARGIN (ours). **Blue:** Benign. Curves that are lower on the left indicate images surrounded by decision regions of multiple classes. Curves that are near the top at rank 1 indicate images surrounded almost entirely by a single class.

Experimental Results

Training attack	False pos.	False neg.		Accuracy	
	Benign	OPTBRITTLE	OPTMARGIN	Our approach	Cao & Gong
MNIST, normal training					
OPTBRITTLE	1.0%	1.0%	74.1%		
OPTMARGIN	9.6%	0.6%	7.2%	90.4%	10%
MNIST, PGD adversarial training					
OPTBRITTLE	2.6%	2.0%	39.8%		
OPTMARGIN	10.3%	0.4%	14.5%		
CIFAR-10, normal training					
OPTBRITTLE	5.3%	3.2%	56.8%		
OPTMARGIN	8.4%	7.4%	5.3%	96.4%	5%
CIFAR-10, PGD adversarial training					
OPTBRITTLE	0.0%	2.4%	51.8%		
OPTMARGIN	3.6%	0.0%	1.2%		

Table 3: False positive and false negative rates for the decision boundary classifier, trained on examples from one attack and evaluated examples generated by the same or a different attack. We consider the accuracy under the worst-case benign/adversarial data split (all-benign if false positive rate is higher; all-adversarial if false negative rate is higher), and we select the best choice of base model and training set. These best-of-worst-case numbers are shown in bold and compared with Cao & Gong’s approach from Table 2.

Experimental Represent

Table 1: Success rate(%) and average distortion of adversarial examples generated by OptMargin attack

	MNIST				CIFAR-10			
	Normal		Adv tr.		Normal		Adv tr.	
OptMargin	100%	0.164	100%	0.165	100%	1.248	100%	4.310

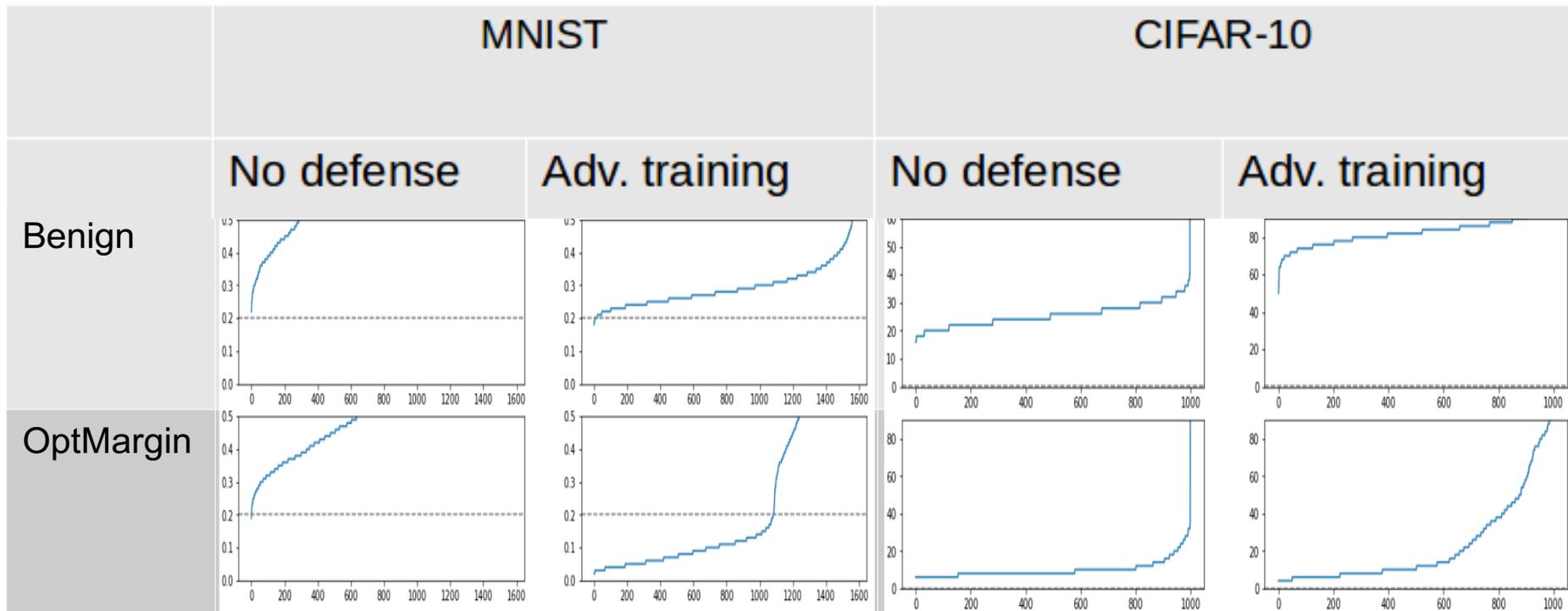
Experimental Represent

Table 2: Accuracy of region classification and point classification

	MNIST				CIFAR-10			
	Region cls.		Point cls.		Region cls.		Point cls.	
	Normal	Adv. tr.	Normal	Adv. tr.	Normal	Adv. tr.	Normal	Adv. tr.
Benign	99%	98%	99%	98%	100%	100%	100%	100%
OptMargin	4%	7%	0%	0%	4.28%	4.78%	4.16%	4.72%

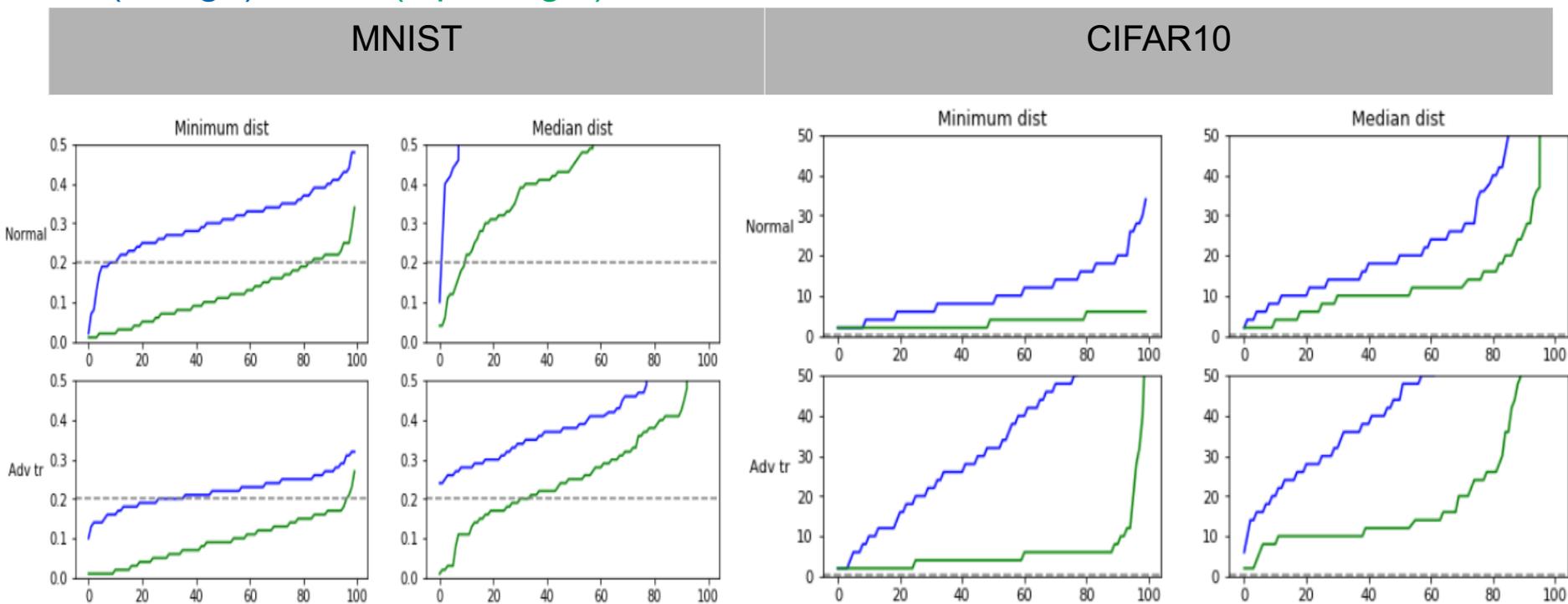
Experimental Represent

Figure 1: Decision boundary distance from single sample images



Experimental Represent

Figure 2: Minimum and median decision boundary distances for a sample of images: blue(benign), Green(OptMargin)



Conclusion and Future Work

- ◆ benefits of examining large neighborhoods around a given input in input space
- ◆ We demonstrated an effective OPTMARGIN attack against a region classification defense, which only considered a small ball of the input space around a given instance.
- ◆ The comprehensive information about surrounding decision boundaries reveals there are still differences between our robust adversarial examples and benign examples.
- ◆ It remains to be seen how attackers might generate adversarial examples that better mimic benign examples' surrounding decision boundaries.

What does each member do?

- ◆ Read the paper
- ◆ Download the code from github
- ◆ Read the code to match the code with the paper
- ◆ Represent the experiment (running for more than a month):
 - OptMargin attack
 - Decision boundary analysis
 - *Train a classifier to defend the attack (not achieved)*
- ◆ Write scripts to analysis collected experiment data
- ◆ Prepare the presentation and jupyter notebook

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