

UVA CS 6316: Machine Learning : 2019 Fall

Course Project: Deep2Reproduce @

<https://github.com/qiyanjun/deep2reproduce/tree/master/2019Fall>

Parameter-Efficient Transfer Learning for NLP

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Parameter-Efficient Transfer Learning for NLP

N. Houlsby *et al.*, "Parameter-Efficient Transfer Learning for NLP," *arXiv preprint arXiv:1902.00751*, 2019.

Reproduced By:

Kallie Whritenour & Stephanie Schoch

Background on Transfer Learning

- *“Transfer learning and domain adaptation refer to the situation where what has been learned in one setting ... is exploited to improve generalization in another setting.” [2]*
- Common transfer learning techniques in NLP:
 - **Feature-based transfer:**
 - Real-valued embedding vectors (at word, sentence, or paragraph level) are pre-trained and fed to custom downstream models.
 - **Fine-tuning:**
 - Pre-trained network weights are copied and tuned on a downstream task
 - Original parameters are adjusted for each new task
 - Better performance and more parameter efficient than feature-based transfer (Howard & Ruder, 2018)
 - Fine-tuning with lower layers of a network shared between tasks: increases parameter efficiency

BERT: Transformer Architecture

- **BERT:** Vaswani et al. (2017)
 - Transformer network
 - Trained on large text corpora with unsupervised loss
 - SOTA: text classification & extractive question answering

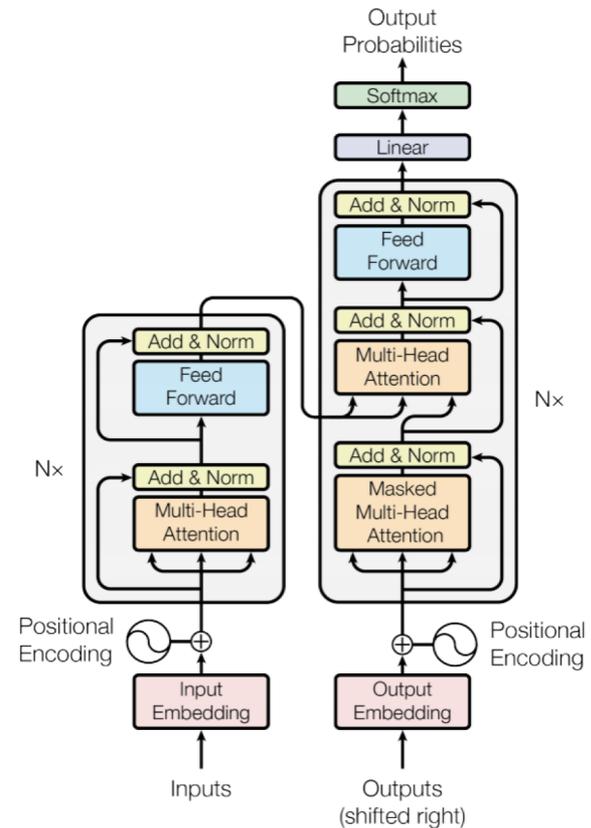


Figure 1: The Transformer - model architecture.

Motivation for Paper

- **Limitations of Related Work:**

- Other approaches, like Multi-Task Learning (Caruana, 1997) requires access to all tasks during training.
- Fine-tuning large pre-trained models for transfer learning in NLP is effective but parameter inefficient.
 - New sets of weights are required for each task (limited parameter efficiency/compactness)
 - Feature-based transfer is even more inefficient.

- **Goal:**

- Build a system that performs well on all tasks in an *online setting*, without training all model parameters for each new task.
 - *Online setting*: tasks arrive in a stream

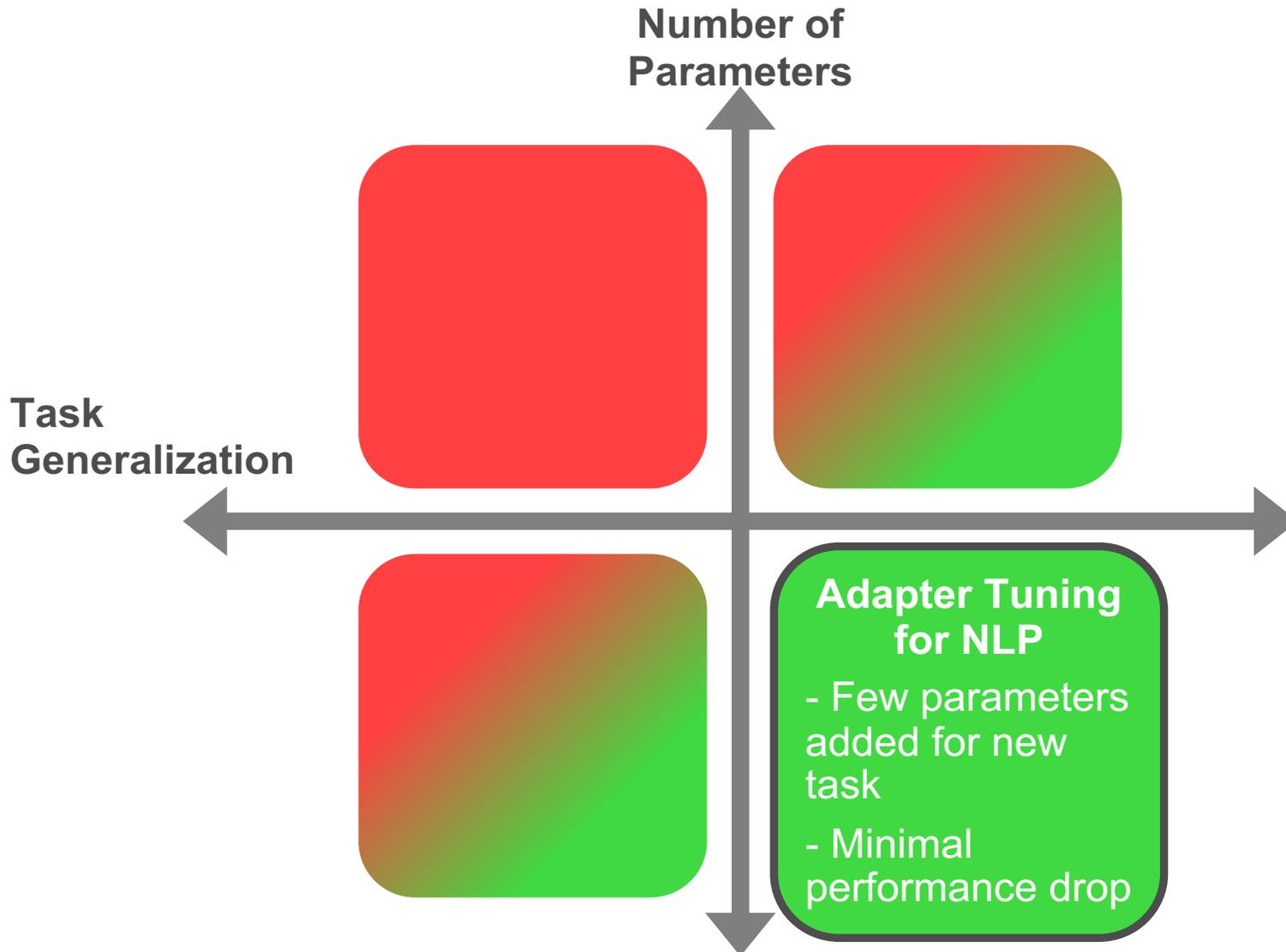
- **Potential Applications/Impact:**

- Cloud services: many tasks arrive from customers in a sequence

Claims

- **Argue:** Fine-tuning large pre-trained models (i.e., BERT) for many downstream tasks is parameter inefficient
 - Parameter efficient solution would involve sharing between tasks
- **Proposed:** Transfer with *adapter modules*
 - **Adapter Modules:** New modules added between layers of a pre-trained network
 - New function is defined with parameters copied from pre-training, small number of parameters are added to the model per task
 - More parameter efficient with minimal performance tradeoff
 - Original network parameters are fixed (parameter sharing), few trainable parameters added per task
 - Yields *compact* and *extensible* downstream models (useful for online tasks):
 - **Compact:** solve many tasks using small number of additional trainable parameters per task
 - **Extensible:** can be trained to solve new tasks without forgetting previous ones

Transfer Learning Tradeoff



Key Properties of Proposed Strategy

1. Attains **good performance**
2. Permits **training on tasks sequentially**
(does not require simultaneous access to all datasets)
3. Adds only a **small number of additional parameters** per task

Adapter-based Tuning for Transformers

- Instantiate adapter-based tuning for text Transformers (SOTA for many NLP tasks)
- Consider standard **Transformer architecture**, proposed in Vaswani et al. (2017).

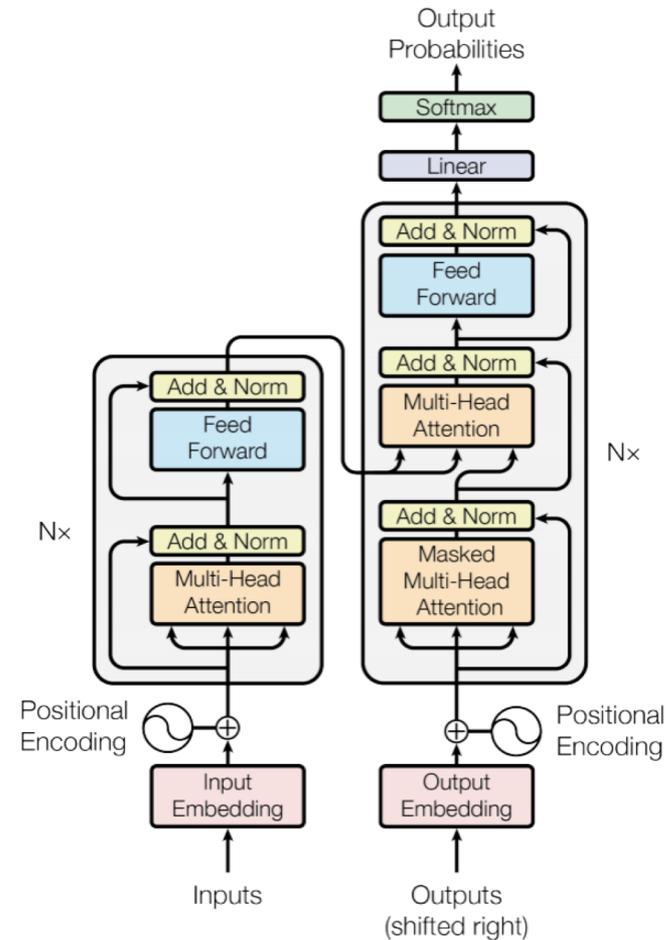
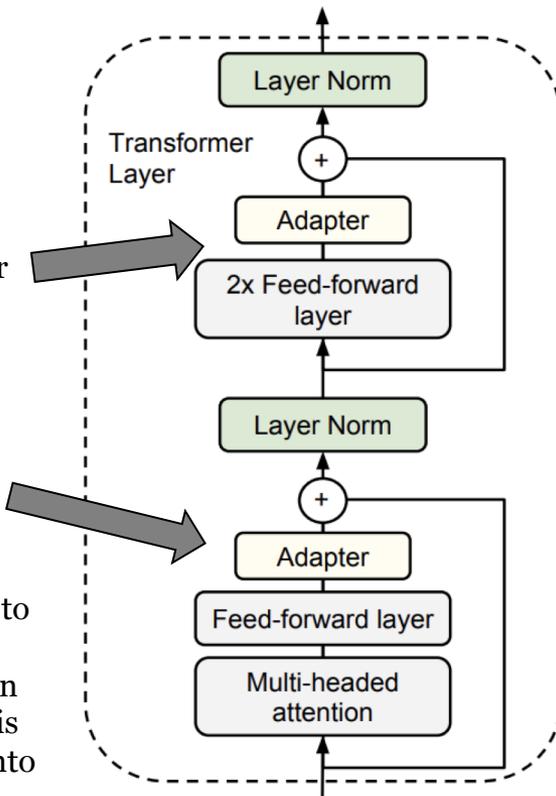


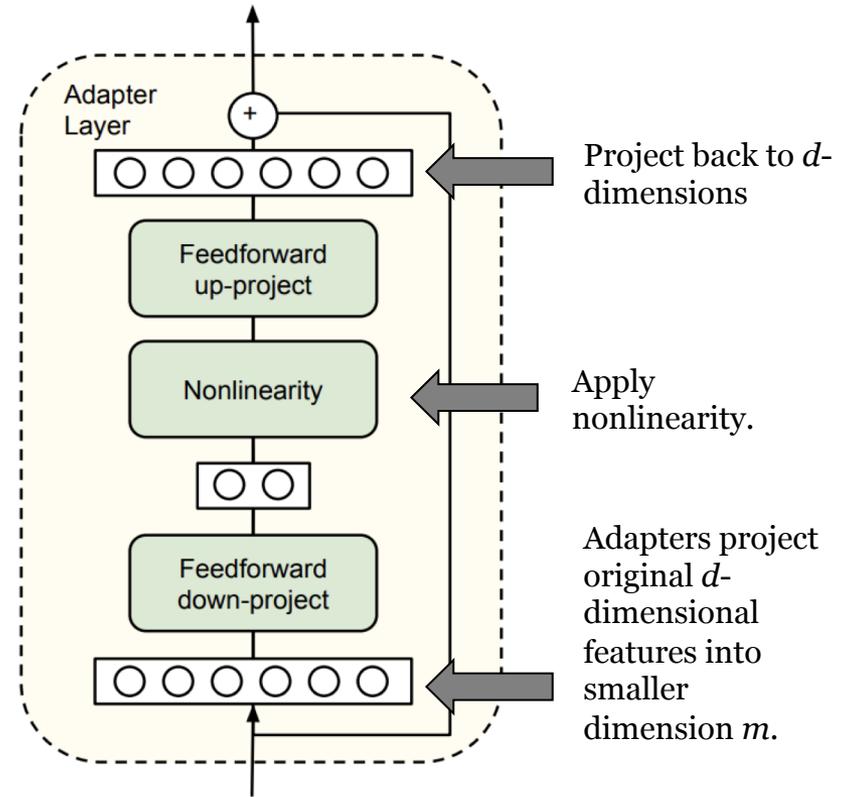
Figure 1: The Transformer - model architecture.

Adapter Architecture Applied to Transformer

Inserted serial adapter after each of the two sub-layers in Transformer layer (attention layer, feedforward layer). Adapter is always applied directly to output of sub-layer (after projection back to input size, but before adding skip connection back. Adapter output is then passed directly into the following layer normalization.



Each sublayer is followed immediately by a projection mapping features size back to size of layer's input. Skip connection is applied across each sub-layer. Output of each sub-layer fed into layer normalization.



Bottleneck architecture to limit number of parameters

- **Important:** New layers are injected into original network, but original network weights are untouched/shared by many tasks!

Data Summary

- **Task Categories:** Classification, Extractive Question Answering
- **Classification:**
 - Transfer BERT Transformer model, with adapters, to 26 text classification tasks (including GLUE benchmark)
 - **GLUE** (General Language Understanding Evaluation) benchmark:
 - Benchmark of nine sentence- or sentence-pair language understanding tasks built on established existing datasets
 - 17 public classification tasks
 - Analyze parameter/performance trade-off
- **Extractive Question Answering:**
 - Tested on: SQuAD Extractive Question Answering v1.1
 - Used to show that adapters work on tasks other than classification



GLUE benchmark: Procedure

- Transfer from pre-trained BERT-LARGE model:
 - 24 layers, total of 330M parameters
 - Perform small hyperparameter sweep (learning rates & number of epochs) for adapter tuning
 - Trained on 4 Google Cloud TPUs with a batch size of 32
- Test using fixed adapter size (# of units in bottleneck), and selecting best size per task from {8, 64, 256}
- Compare to fine-tuning public, pre-trained BERT transformer network
 - Current standard for transfer of large pre-trained models, and strategy successfully used with BERT
 - For N tasks, full fine-tuning requires $N \times \#$ parameters of pre-trained model
 - **Goal:** attain equal performance with fewer total parameters

Experimental Results: GLUE Text Classification

- Performance on GLUE (mean GLUE score across 9 tasks):
 - 80%: adapters
 - 80.4%: full-fine tuning of standard BERT
 - **Near state-of-the-art performance**
 - Adapters add only 3% of # parameters trained by fine-tuning:
 - Fine-tuning requires 9 x total # BERT parameters
 - Adapters require only 1.3 x parameters
- Other observation:
 - Optimal adapter size varies per dataset
 - Always restricting to size 64 results in small decrease in mean GLUE score: 79.6%

Takeaway: *On GLUE, adapter-tuning achieved scores within 0.4% of full fine-tuning of BERT, but used only 3% of # parameters trained by fine-tuning!*

Project Goals and Included Components

- **Goal:**
 - Reproduce the results from the GLUE tasks presented in the paper.
- Project components:
 - Transfer from pre-trained BERT-LARGE model (24 layers, 330M parameters)
 - Fine-tune BERT on each task (100% of parameters trained)
 - Train BERT w/ Adapters on each task

Our Selected GLUE Tasks:

- Selected a subset of GLUE tasks:
 - **Similarity and Paraphrase Task:**
 - Microsoft Research Paraphrase Corpus (MRPC)
 - Automatically extracted sentence pairs from online news sources
 - Human annotations: are sentences semantically equivalent
 - **Single-Sentence Classification Task:**
 - The Corpus of Linguistic Acceptability (CoLA)
 - Sentences w/ acceptability judgements from 22 books and journal articles on linguistic theory
 - Each example: single string of English words, annotated with whether it is a grammatically possible sentence

Code Walkthrough: Evaluation Setup

```
[ ] import datetime
import json
import os
import pprint
import random
import string
import sys
```

```
!pip install tensorflow==1.13.1
import tensorflow as tf
tf.logging.set_verbosity(tf.logging.ERROR)
```

Control TF Version and ignore deprecation warnings

```
print(tf.__version__)
```

```
assert 'COLAB_TPU_ADDR' in os.environ, 'ERROR: Not connected to a TPU runtime'
TPU_ADDRESS = 'grpc://' + os.environ['COLAB_TPU_ADDR']
print('TPU address is', TPU_ADDRESS)
```

Check for TPU availability and set address

```
from google.colab import auth
auth.authenticate_user()
```

```
with tf.Session(TPU_ADDRESS) as session:
    print('TPU devices:')
    pprint.pprint(session.list_devices())
```

Print list of TPUs available to double check resources

```
# Upload credentials to TPU.
with open('/content/adc.json', 'r') as f:
    auth_info = json.load(f)
tf.contrib.cloud.configure_gcs(session, credentials=auth_info)
# Now credentials are set for all future sessions on this TPU.
```

Code Walkthrough: Evaluation Setup

TPU address is `grpc://10.112.68.82:8470`

TPU devices:

```
[_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:CPU:0, CPU, -1, 14337102601148299760),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:XLA_CPU:0, XLA_CPU, 17179869184, 9486005686737355285),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:0, TPU, 17179869184, 1590544995307758074),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:1, TPU, 17179869184, 7223501901580485739),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:2, TPU, 17179869184, 3779531389744063593),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:3, TPU, 17179869184, 5601128333570297742),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:4, TPU, 17179869184, 133164577202335383),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:5, TPU, 17179869184, 17221969116569932115),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:6, TPU, 17179869184, 14311138703531243347),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU:7, TPU, 17179869184, 2733988545055026383),  
_DeviceAttributes(/job:tpu_worker/replica:0/task:0/device:TPU_SYSTEM:0, TPU_SYSTEM, 8589934592, 9830345759840592752)]
```

We can see that we successfully found 7 TPUs and their address, which we will need to reference later in the code because it changes from session to session

Code Walkthrough: Evaluation Setup

```
TASK = 'CoLA' #'MRPC'
assert TASK in ('MRPC', 'CoLA'), 'Only (MRPC, CoLA) are demonstrated here.'

# Define Google Cloud Bucket with Data and Pretrained Models
BUCKET = 'cs6316_finaal_project'
assert BUCKET, 'Must specify an existing GCS bucket name'

# Data Dir: Needs to be in Google Cloud
TASK_DATA_DIR = 'gs://{}/data/glue_data/{}'.format(BUCKET, TASK)

print('***** Task data directory: {} *****'.format(TASK_DATA_DIR))
!gsutil ls $TASK_DATA_DIR

# Output Dir: Needs to be in Google Cloud
OUTPUT_DIR = 'gs://{}/'.format(BUCKET)+model + '/models/{}'.format(TASK)
tf.gfile.MakeDirs(OUTPUT_DIR)
print('***** Model output directory: {} *****'.format(OUTPUT_DIR))

BERT_MODEL = 'uncased_L-12_H-768_A-12'

print('***** TPU ADDRESS: {} *****'.format(TPU_ADDRESS))
```

Google TPUs need to access data and pretrained models from Google Cloud Services

Set and check that we've successfully found our GCS Bucket

```
***** Task data directory: gs://cs6316_finaal_project/data/glue_data/CoLA *****
gs://cs6316_finaal_project/data/glue_data/CoLA/dev.tsv
gs://cs6316_finaal_project/data/glue_data/CoLA/test.tsv
gs://cs6316_finaal_project/data/glue_data/CoLA/train.tsv
gs://cs6316_finaal_project/data/glue_data/CoLA/original/
***** Model output directory: gs://cs6316_finaal_project/adapter-bert/models/CoLA *****
***** TPU ADDRESS: grpc://10.112.68.82:8470 *****
```

Here we see our Bucket is correct!

Code Walkthrough: Evaluation Code

```
[ ] !python /content/drive/My\ Drive/Colab\ Notebooks/Final/$model/run_classifier.py \  
    --task_name=$TASK \  
    --do_train=true \  
    --do_eval=true \  
    --use_tpu=true \  
    --tpu_name=$TPU_ADDRESS \  
    --data_dir=$TASK_DATA_DIR \  
    --vocab_file=$BERT_PRETRAINED_DIR/vocab.txt \  
    --bert_config_file=$BERT_PRETRAINED_DIR/bert_config.json \  
    --init_checkpoint=$BERT_PRETRAINED_DIR/bert_model.ckpt \  
    --max_seq_length=128 \  
    --train_batch_size=32 \  
    --learning_rate=2e-5 \  
    --num_train_epochs=15.0 \  
    --output_dir=$OUTPUT_DIR/
```

Call to run classifier code -Train Mode

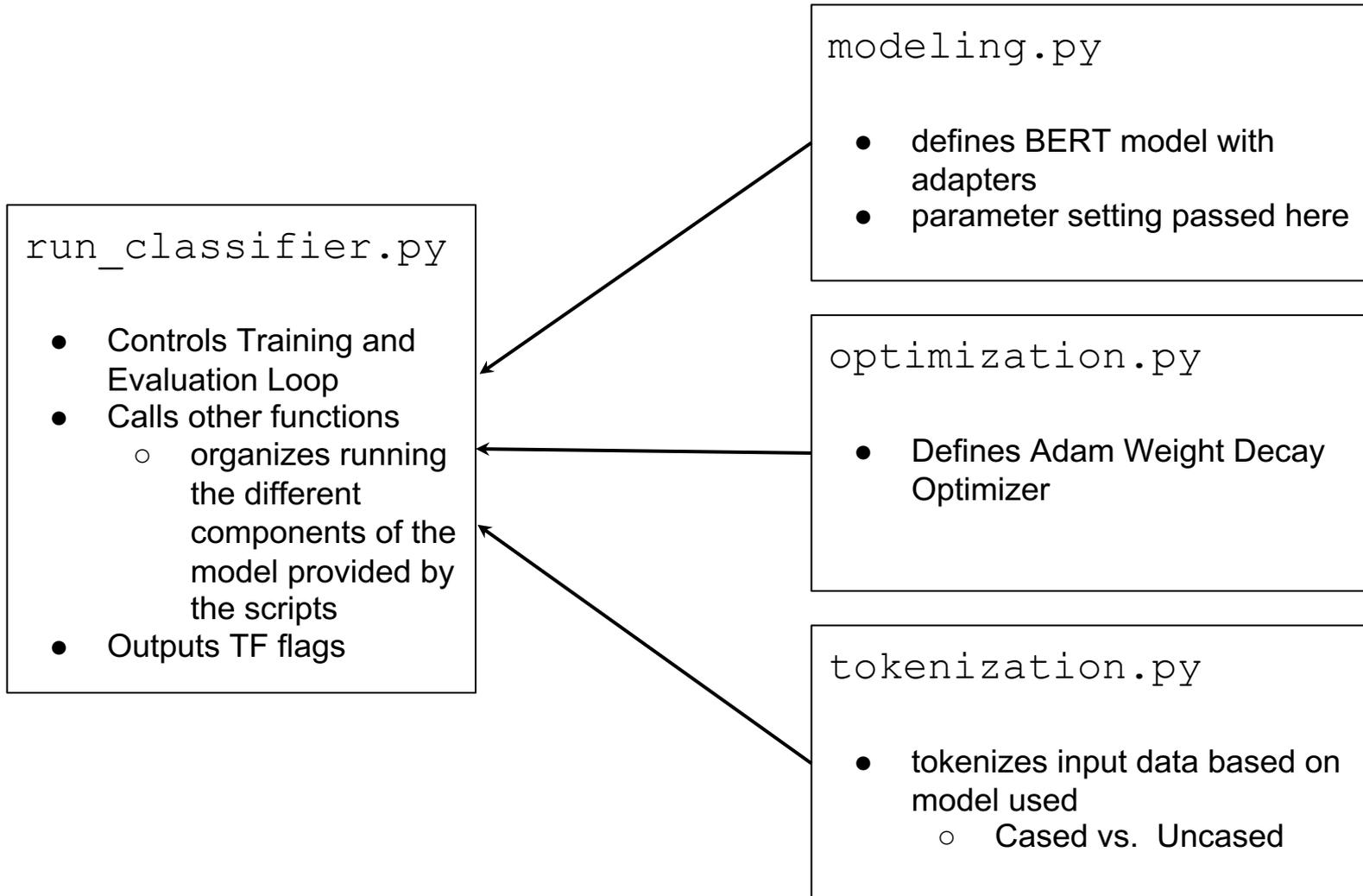
- can set hyper-params here
- training takes multiple hours
- Pass data, model, output and TPU paths here

```
[ ] # --do_predict=true \  
!python /content/drive/My\ Drive/Colab\ Notebooks/Final/$model/run_classifier.py \  
    --task_name=$TASK \  
    --do_eval=true \  
    --use_tpu=true \  
    --tpu_name=$TPU_ADDRESS \  
    --data_dir=$TASK_DATA_DIR \  
    --vocab_file=$BERT_PRETRAINED_DIR/vocab.txt \  
    --bert_config_file=$BERT_PRETRAINED_DIR/bert_config.json \  
    --max_seq_length=128 \  
    --init_checkpoint=$OUTPUT_DIR/'model.ckpt-4008' \  
    --output_dir=$OUTPUT_DIR/new/ /
```

Call to run classifier code - Eval Mode

- Loads fully tuned models trained previously
- Eval takes ~3 min
- Doesn't change model weights, only applies model to data

Code Walkthrough: Evaluation Code



Code Walkthrough: MRPC Data Examples, Evaluation Output

Hyper Parameter Setting for Training MRPC Model:

- batch size: 32
- learning rate: 2e-5
- number of epochs: 15
- max. sequence length: 128
- adapter size: 64
- Bert Model Parameters: as default
 - hidden_size=768,
 - num_hidden_layers=12,
 - num_attention_heads=12,
 - intermediate_size=3072,
 - hidden_act="gelu",
 - hidden_dropout_prob=0.1,
 - attention_probs_dropout_prob=0.1

```
INFO:tensorflow:***** Eval results *****  
INFO:tensorflow:  eval_accuracy = 0.85784316  
INFO:tensorflow:  eval_loss = 1.0469517
```

Performance on MRPC evaluation set

Results

- We achieved comparable results on a subset of GLUE tasks:

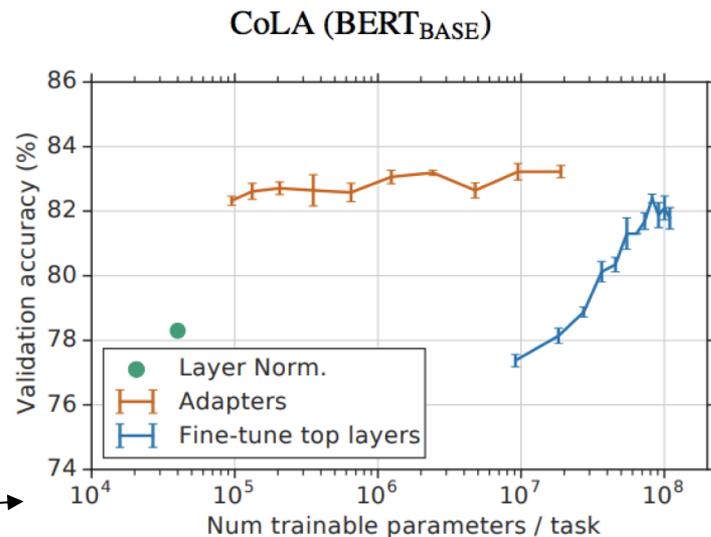
Parameter-Efficient Transfer Learning for NLP

	Total num params	Trained params / task	CoLA	SST	MRPC	STS-B	QQP	MNLI _m	MNLI _{mm}	QNLI	RTE	Total
BERT _{LARGE}	9.0×	100%	60.5	94.9	89.3	87.6	72.1	86.7	85.9	91.1	70.1	80.4
Adapters (8-256)	1.3×	3.6%	59.5	94.0	89.5	86.9	71.8	84.9	85.1	90.7	71.5	80.0
Adapters (64)	1.2×	2.1%	56.9	94.2	89.6	87.3	71.8	85.3	84.6	91.4	68.8	79.6

Evaluation Accuracy by Model and Dataset

	MRPC	CoLA	Total
BERT	0.8504902	0.8274209	0.83895555
BERT w/ Adapters	0.85784316	0.8178332	0.83783818

- CoLA discrepancy: Paper reported Matthew's coefficient in the table, we reported accuracy, with results similar to Figure on the right:



Visualization of Results

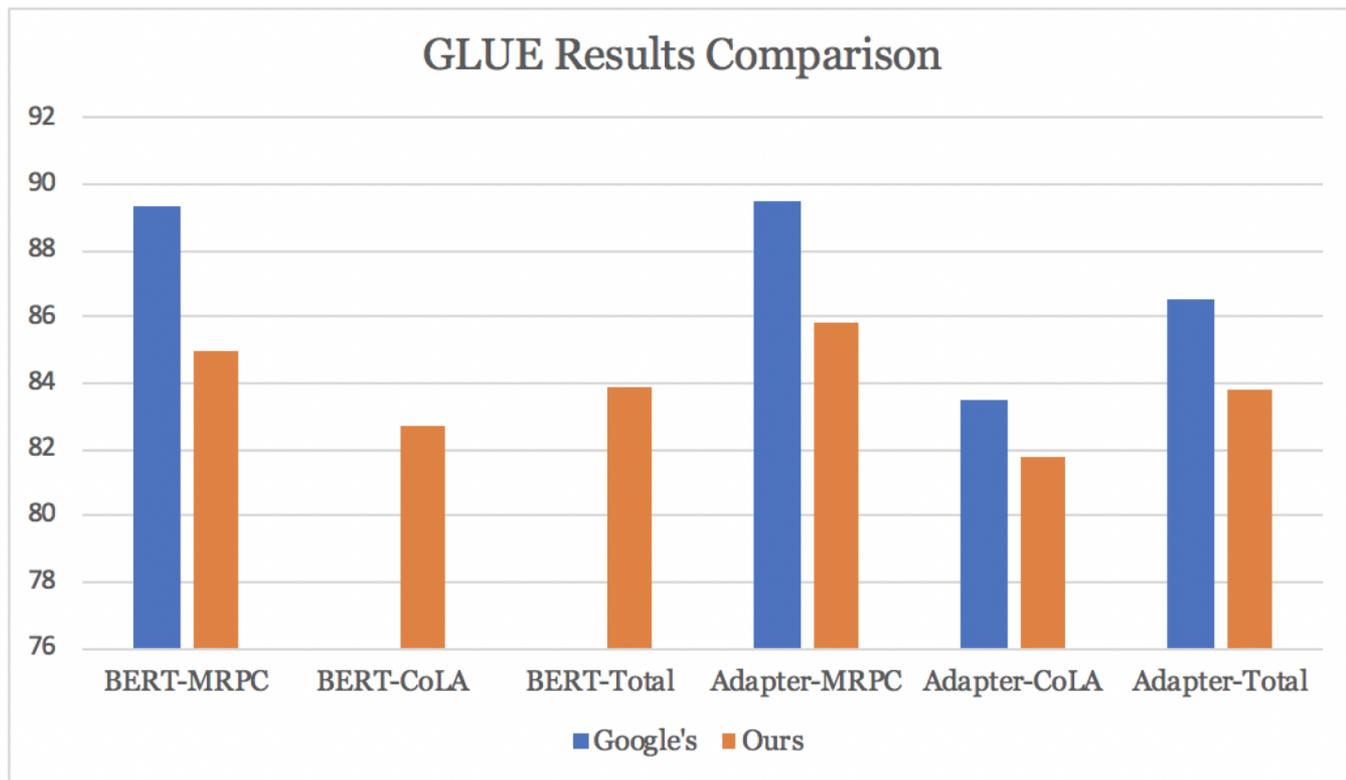


Figure 1: Results for Google's BERT-CoLA and Total are not reported due to difference in reported metrics. Google's Adapter-CoLA is taken from line graph in paper, and total is recalculated.

Conclusions

- **Major conclusion/contribution from paper:**
 - Addition of adapter modules adds a small percentage of new parameters for each new task, while still achieving state-of-the-art performance
- **Our results support this!**

Discussion

- **Major Challenge of Project:**
 - Complexity of transformer architecture
 - How to train the models:
 - Need to be run on a GPU with at least 12GB of RAM, or a Cloud TPU
 - Cannot train on local machines
 - Tensorflow versioning issues with UVA CS Server
 - Setting up virtual environment & project directory on server.
 - Data-size exceeds allocated Google Colab space.
 - Needed to set up Cloud TPU Storage Bucket & configure model to work with Google Colab & TPU
 - **Time to train the models!**

Division of Work

- Setting-up Training Environments
 - SLURM: Kallie
 - **Google Colab w/ Cloud Storage Bucket: Kallie**
 - Project directory/Virtual environment w/ server GPUs: Stephanie
- Training Final Models: Kallie
- Running Final Experiments: Kallie
- Prepping Jupyter Notebook: Kallie
- Slides:
 - Paper review slides:
 - Related Work, Graphic Visualization, Conclusions & Future Work: Kallie
 - Motivation, Background, Claim/Target Task, Proposed Solution & Key Properties, Adapter & Architecture Explanation Slides, Data Summary, Experiments: Stephanie
 - Additional final project slides:
 - Results w/ Visualization, Discussion, Project Components: Stephanie
 - Code Walkthrough: Kallie, Stephanie

References

- [1] R. Caruana, Multitask learning. *Machine Learning*, 1997.
- [2] I. Goodfellow, Y. Bengio, & A. Courville, “Deep Learning,” 2016.
- [3] N. Houlsby et al., “Parameter-Efficient Transfer Learning for NLP,” *arXiv preprint arXiv:1902.00751*, 2019.
- [4] J. Howard & S. Ruder, “Universal language model fine-tuning for text classification,” *ACL 2018*.
- [5] M. Peters, M. Neumann, M. Iyyer, M. Gardner, C Clark, K Lee, L. Zettlemoyer, “Deep conceptualized word representations,” *NAACL*, 2018.
- [6] A. Vaswani, N. Shazeer, N. Parmar, J. Uszkoreit, L. Jones, A. N. Gomez, L. u. Kaiser, I. and Polosukhin, “Attention is all you need,” *NIPS*, 2017.

EXTRA SLIDES

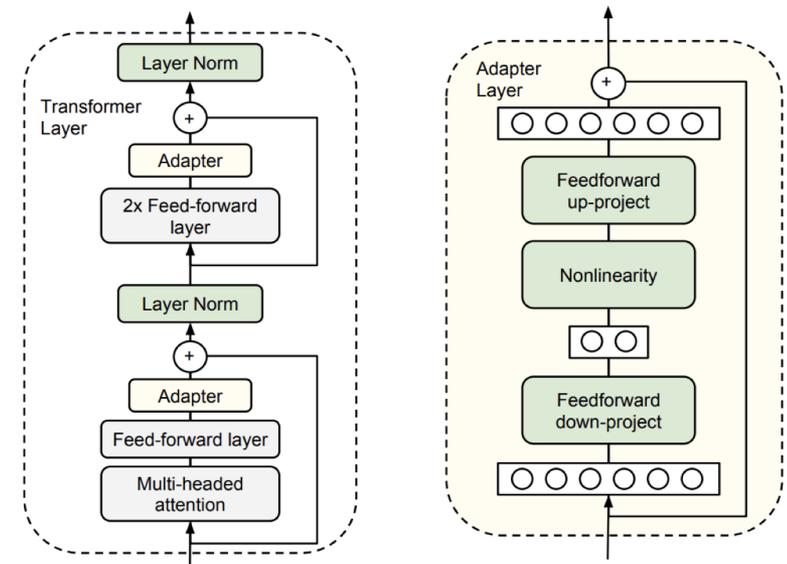
The following slides are not part of the presentation, but can be referred to during QA.

Features of Adapter Modules

- Two main features of adapter modules:
 - **Small number of parameters**
 - Adapter modules = small compared to the layers of the original network, so total model size grows slowly when more tasks are added
 - **Near identity initialization**
 - Required for stable training of the adapted model
 - Original network is unaffected when training starts since adapters are initialized to a near-identity function
 - During training, adapter modules can be:
 - Ignored if not required
 - Activated to change distribution of activations throughout network
 - If initialization deviates too far from identity function, model may fail to train

Implications of Bottleneck Architecture

- Total # parameters added per layer (including biases): $2md + d + m$
- $m < d$: limit number of parameters added per task
- Bottleneck dimension m : provides means to trade-off performance w/ parameter efficiency
 - Few parameters relative to attention & feedforward layers of original model



- Adapter module itself has a skip-connection internally
 - If parameters of projection layers are initialized to near-zero, the module is initialized to an approximate identity function.
- Additional step: trained new layer normalization parameters per task, alongside layers in the adapter module
 - Yields parameter efficient adaptation of network ($2d$ parameters per layer)
- **Important:** New layers are injected into original network, but original network weights are untouched and shared by many tasks!

Classification: Experiment Set-Up

- Base Model: public, pre-trained BERT transformer network
- Classification approach & training procedure from Devlin et al. (2018):
 - Classification approach:
 - First token in each sequence is special “classification token”
 - Attach linear layer to embedding of this token to predict class label.
 - Training procedure:
 - Optimize using Adam (learning rate is increased linearly over the first 10% of the steps, then decayed linearly to zero)
 - All runs trained on 4 Google Cloud TPUs with a batch size of 32
 - Run a hyperparameter sweep and select the best model according to accuracy on the validation set, for each dataset and algorithm

Additional Classification Tasks

- Used for validation of adapter efficacy in yielding compact, high-performing models
- Diverse range of tasks & datasets (vary across # training examples, # classes, avg. text length, etc.)
- Procedure:
 - Batch size 32, swept learning rates, selected # training epochs from {20, 50, 100} via manual inspection of validation set learning curves.
 - Test adapter sizes {2, 4, 8, 16, 32, 64}
 - Run additional baseline: variable fine-tuning
 - Collected benchmark performances (since no comprehensive set of SOTA for set of tasks)
- **Result:** *Similar to GLUE, performance of adapter-tuning is close to full fine-tuning (0.4% difference)*

Parameter/Performance Trade-Off

- Smaller adapter size = fewer parameters = higher parameter efficiency... but what is the impact on performance?
- **Adapter size: parameter efficiency/performance trade-off**
 - Compared two baselines:
 - Fine-tuning of top k layers of BERT(Base)
 - Tuning only layer normalization parameters
 - Results:
 - Performance decreases dramatically on GLUE when fewer layers are fine-tuned, but adapters had good performance across a range of sizes two orders of magnitude fewer than fine-tuning.
 - Performance decreased dramatically when tuning only layer normalization parameters

SQuAD Extractive Question Answering

- Used as confirmation that adapters work on tasks beyond classification
- Run on SQuAD v1.1:
 - **Task:**
 - Given question & Wikipedia paragraph, select the answer span to the question from the paragraph.
 - **Results:**
 - Performance is comparable to full fine-tuning (while training many fewer parameters):
 - **Adapter size 64 (2% of parameters):** best F1 of 90.4%
 - **Full fine-tuning:** 90.7%
 - **Adapter size 2 (0.1% parameters):** best F1 of 89.9%

Experimental Analysis

- Analyses performed:
 - **Ablation:** to determine which adapters are influential
 - **Robustness investigation:** based on
 - *Initialization scale*
 - *Number of neurons*
 - Documentation of unsuccessful architecture extensions

Experimental Analysis: Ablation

- Procedure:
 - Remove some trained adapters & re-evaluate the model (without re-training) on the validation set
 - Experiment performed on BERT-BASE with adapter size 64 on MNLI and CoLA datasets
- **Observation 1:** *Each adapter has a small influence on the overall network, but the overall effect is large.*
 - Removing any single layer's adapters has only a small impact on performance.
 - Largest performance drop from removing adapters from single layer was 2%
 - When all adapters are removed from network, performance drops substantially (37% MNLI, 69% CoLA) - scores attained by predicting the majority class
- **Observation 2:** *Adapters perform well because they prioritize higher layers/automatically focus on higher levels of the network*
 - Adapters on the lower layers have a smaller impact than the higher layers
 - Removing adapters from layers 0-4 on MNLI barely affected performance
 - Intuition:
 - Lower layers extract lower-level features shared among tasks
 - Higher layers build features unique to different tasks

Robustness Investigation: Initialization Scale

- Initialization scales:
 - Main experiments:
 - Weights in the adapter module drawn from a zero-mean Gaussian with standard deviation 10^{-2} , truncated to two standard deviation
 - Investigation for analysis of impact of initialization scale on performance:
 - Test standard deviation in interval $[10^{-7}, 1]$
- Observations:
 - On both datasets, performance of adapters is robust for standard deviations below 10^{-2} .
 - If initialization is too large, performance degrades (more substantially on CoLA).

Robustness Investigation: Number of Neurons

- Procedure:
 - Re-examine experimental data from GLUE benchmark:
 - Observe:
 - Stable quality of model across adapter sizes
 - Only small decrease of performance when using fixed adapter size across all tasks
 - Calculate mean validation accuracy across 8 classification tasks by selecting optimal learning rate/# epochs for each adapter size:
 - Mean validation accuracies for adapter sizes 8, 64, 256:
 - 86.2%, 85.8%, 85.7% = **stability!**

Experimental Analysis: Extensions

- Extensions to adapter architecture that didn't yield significant performance boost:
 - Add a batch/layer normalization to the adapter
 - Increase number of layers per adapter
 - Try different activation functions (such as tanh)
 - Insert adapters only inside attention layer
 - Add adapters in parallel to main layers (possibly with a multiplicative interaction)
- **All cases:** performance similar to bottleneck, which is more simple and yields strong performance.

Project Components Not Included

- Components we did not reproduce w/ justification:
 - Did not perform hyperparameter sweeps:
 - These metrics not reported in paper, only best configuration was reported.
 - Additional classification tasks:
 - To benchmark these, a Neural AutoML algorithm was run for one week on CPUs using 30 machines.
 - Given training time for the model, GLUE tasks seemed more standardized (as demonstrated by lack of baseline for additional tasks) and important to generate results.
 - SQuAD Extractive Question Answering, Ablation and Robustness Investigation
 - Time-prohibitive for training the models.

Conclusion and Future Work

- The addition of adapter modules was found to add only a few parameters for each new task while still achieving state-of-the-art performance
 - adapters were found to automatically place more weight on higher levels, which coincides with learning features that are task specific
 - model performance was stable across adapter module size
 - adapters were robust to single adapter layer removal but model performance dropped significantly when all adapters were removed
- This work can be extended to applications beyond NLP including: Computer Vision, Machine Translation, and other areas
- More work can be undertaken to understand how adapter modules behave under different architectures, tasks, and hyperparameter settings