

# Adversarial Examples that Fool both Computer Vision and Time-Limited Humans

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<https://qdata.github.io/deep2Read/>

# Outline

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# Introduction

## Basic Premise and Motivation

- ▶ Interesting phenomenon: adversarial examples often transfer from one model to another
- ▶ Perhaps humans can also be susceptible; already prone to cognitive bias and optical illusions, but not how adversarial examples work
- ▶ Neuroscience often used as existence proof for ML capabilities; if humans can resist certain classes of adversarial examples, ML models should also be able to
- ▶ Likewise, if adversarial examples can affect brain, may help understanding of neuroscience

# Related Work

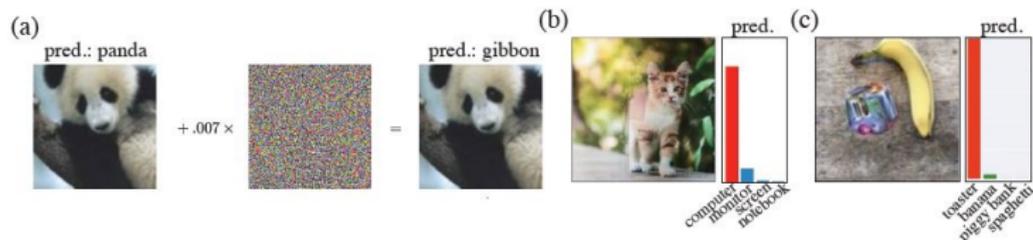
## Adversarial Examples

- ▶ Goodfellow et al. defines adversarial examples as "inputs to machine learning models that an attacker has intentionally designed to cause the model to make a mistake"
- ▶ Important: adversarial examples are designed to cause a mistake, not to differ from human judgment; assume that perturbations do not change true class
- ▶ Important: adversarial examples are not defined to be imperceptible

# Related Work

## Clues that Human Transfer is Possible

- ▶ Adversarial examples transfer across ML models, even with differing architectures, training sets, and algorithms
- ▶ Kurakin et al.: adversarial examples transfer from digital to physical world, despite differences in lighting and cameras
- ▶ Liu et al.: adversarial examples optimized to fool many models more likely to fool another model
- ▶ Recent studies have also found that adversarial examples sometimes have meaningful transformations to human observers (i.e. cat to computer seems more computer-like to humans)



# Related Work

## Biological and Artificial Vision

- ▶ Recent research has found similarities between deep CNNs and primate visual system
- ▶ Activity in deeper CNN layers predictive of visual pathway of primates
- ▶ Reisenhuber and Poggio: developed model of object recognition in human cortex that is very similar to CNNs
- ▶ Kummerer et al.: CNNs predictive of human gaze fixation
- ▶ Style transfer: intermediate CNN layers capture artistic style meaningful to humans
- ▶ Freeman et al.: used representations in CNN-like model to develop psychophysical metamers

# Related Work

## Notable Differences

- ▶ Images used for CNNs typically static rectangular images with constant spatial resolution
- ▶ Primate eye has eccentricity dependent spatial resolution; also sensitive to time and non-uniform colors
- ▶ CNNs fully feed-forward architectures; human cortex has many more feedback connections
- ▶ Humans do not consider static scenes, but actively explores with saccades

# Methods

## Models and Datasets

- ▶ Images from ImageNet
- ▶ Used 6 specific classes: dog, cat, broccoli, cabbage, spider, snake
- ▶ Further grouped into 3 larger classes: pets, hazards, vegetables
- ▶ Used ensemble of  $k$  CNN models trained on ImageNet
- ▶ Prepend each model with retinal layer with eccentricity-dependent blurring to approximate human image inputs
- ▶ Adversarial examples generated with iterated gradient descent with  $l_\infty$  norm of all perturbations restrained to fixed  $\epsilon$

# Methods

## Human Psychophysics Experiment: Procedures

- ▶ 38 subjects with normal/corrected vision
- ▶ Subjects asked to classify images appearing on screen as one of two choices
- ▶ Subjects directed to look at fixation cross and afterwards, image is shown for 63 ms, followed by 10 high contrast binary masks
- ▶ Subjects given 2.2-2.5 seconds to respond after masks appear

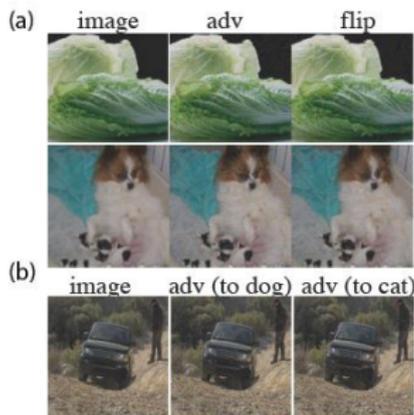
# Methods

## Human Psychophysics Experiment: Conditions

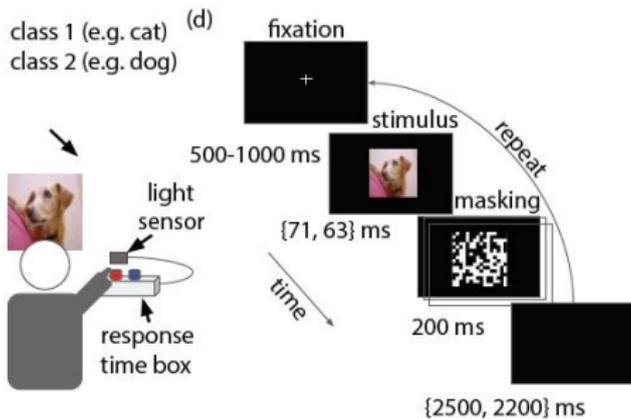
- ▶ Images presented in 1 of 4 conditions:
  - ▶ *image*: Original ImageNet images rescaled to [40, 255-40] to avoid clipping after adding perturbations
  - ▶ *adv*: Perturbed images; used  $\epsilon = 32$ , large enough to be noticed by humans but small enough that no-limit humans still identify true class correctly
  - ▶ *flip*: Same as *adv*, except flip perturbation vertically before adding to image; make sure changes in human accuracy are not caused by image distortion
  - ▶ *false*: Two options presented as choices are both wrong; see if adversarial examples can influence towards specific wrong choice
- ▶ Pre-filtered images to not have large distinctions between classes due to brightness or overall color

# Methods

## Experiment Diagram



- (c) ■ class 1 (e.g. cat) ■ class 2 (e.g. dog)



# Results

## Transfer to Computer Vision Models

- ▶ Assess transfer of adversarial examples to two test models not included in ensemble
- ▶ Both models have  $> 75\%$  accuracy on clean images
- ▶ *adv* and *false* examples succeeded 57 – 89% of the time, *flip* succeeded less than 1.5% of the time, validating its use as a control

# Results

## Transfer to Humans

- ▶ Want to show that adversarial examples do not simply degrade image quality or discard information to increase human error rate
- ▶ Therefore, first show that with a fixed error rate (where human is forced to be wrong) adversarial examples influence choice among two classes
- ▶ Then, show that adversarial examples increase error rate

# Results

## Transfer to Humans: Two Incorrect Classes

- ▶ Used the *false* condition images
- ▶ If adversarial perturbation completely ineffective, would expect choice of target class to be uncorrelated to with subject's reported class; average rate should be 0.5 for each image
- ▶ Used larger class groups (pets, hazards, vegetables)
- ▶ In all cases, probability significantly above 0.5
- ▶ Also found that reaction time inversely correlated with perceptual bias pattern i.e. subjects more confident when adversarial perturbation more successful when biasing decision

# Results

## Transfer to Humans: Increase in Human Error Rate

- ▶ Now show that we can bias human response against true class even when true class is an option
- ▶ Used *image*, *adv*, and *flip* conditions
- ▶ Most subjects had lower accuracy on *adv* than *image*
- ▶ Result may, however, only imply that signal to noise ratio in adversarial images is lower; partially addressed with *flip* which has perturbation with identical statistics
- ▶ Majority of subjects also had lower accuracy on *adv* than *flip* images

# Results

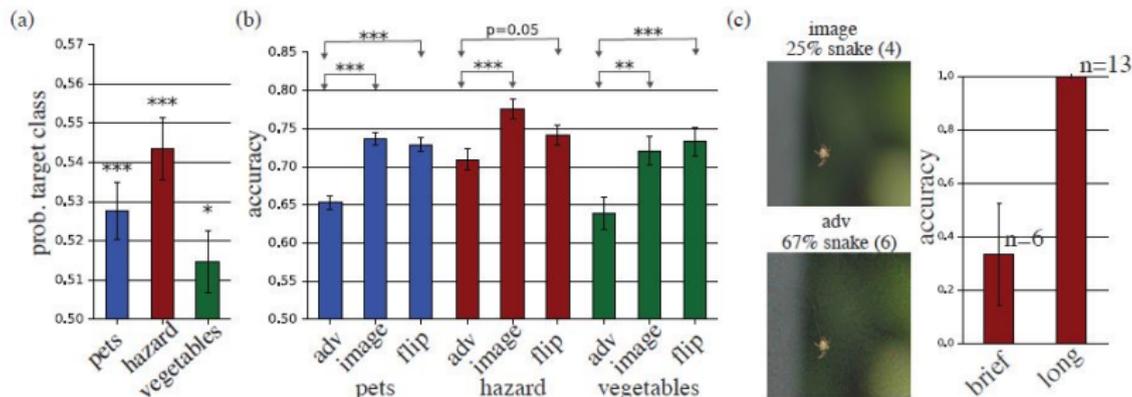
## Transfer to Humans: Increase in Human Error Rate

- ▶ Results suggest that direction of adversarial perturbation with specific image produces perceptually relevant features for humans
- ▶ Perhaps strong black box attacks to CNNs can transfer to humans
- ▶ Interestingly, average response time longer for *adv* condition images; seems to contradict *false* condition's results
- ▶ Perhaps in *false* case perturbations caused higher confidence and in *adv* case perturbations caused lower confidence due to competing adversarial and true class features in *adv*

# Results

## Graphs: Human Error Rate

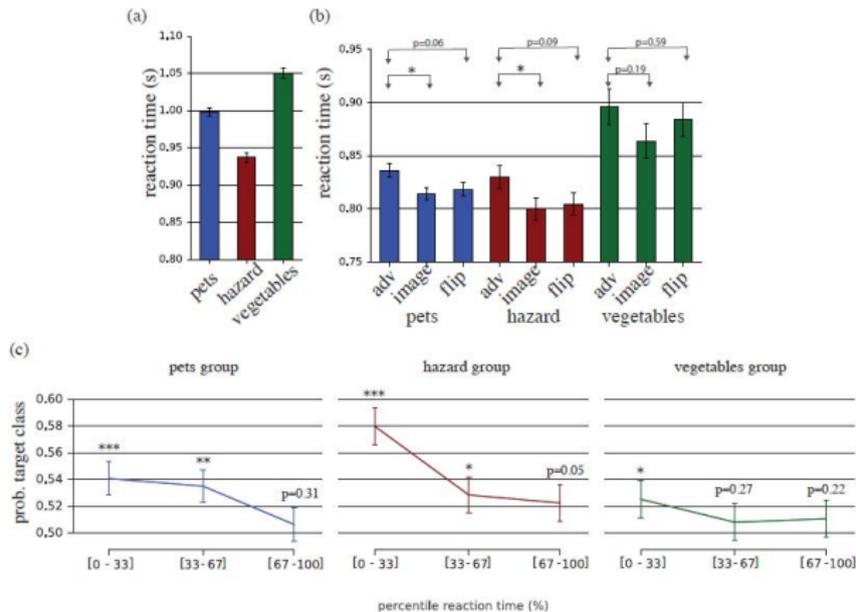
- ▶ a) Probability of choosing correct target class significantly  $> 0.5$
- ▶ b) Adversarial images cause more mistakes than both original image and image with flipped perturbation
- ▶ c) Image of spider that time-limited humans perceived to be a snake



# Results

## Graphs: Human Response Time

- ▶ a) Average response time to *false* images
- ▶ b) Average response time to *image*, *adv*, and *flip*
- ▶ c) Probability of choosing correct target class decreases with increased reaction time in *false*



# Discussion

- ▶ Did examples fool humans or did they change the true class?
  - ▶ Perturbations small enough that true class unchanged for human with no time limit
  - ▶ Thus, we can be confident that examples did fool humans
- ▶ How did the adversarial examples work?
  - ▶ No controlled experiments, but generally observed edge disruptions, enhancing edges through increased contrast and creating texture boundaries, modifying textures, and taking advantage of dark regions of images

# Discussion

- ▶ What are the implications for ML security and society?
  - ▶ The fact that the examples fool time-limited humans but not no-limit humans suggest lateral and top-down connections used by no-limit human are relevant to human robustness against adversarial examples
  - ▶ Perhaps ML models can become more robust through similar connections
  - ▶ Also suggest that images can be manipulated to cause human observers to have unusual reactions
- ▶ Future Work
  - ▶ How does transfer to humans depend on  $\epsilon$ ?
  - ▶ Was model ensembling crucial for the transfer?
  - ▶ Can retinal preprocessing layer be removed?

# Conclusion

- ▶ This work showed that adversarial examples based on perceptible but class-preserving perturbations that fool multiple ML models can also fool time-limited humans
- ▶ Show strong similarities between CNNs and human visual system; expect work to help in both future machine learning and neuroscience research

## References

- ▶ <https://arxiv.org/pdf/1802.08195.pdf>