# Learning to Query, Reason, and Answer Questions On Ambiguous Texts

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#### Introduction

- Background
- This paper: QRAQ

# 2 QRAQ

## 3 Model

- Control Loop
- baseRL
- impRL
- Policy Gradient & Reward Function

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- Human conversation is incomplete, ambiguous and full of extraneous detail
- Conversational agents must be able to reason in the presence of missing or unclear info

- bAbl & children's book: answer questions about short stories
- Task-oriented dialog systems: answer questions to find restaurants or movies (slot filling)

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# QRAQ (Query, Reason, and Answer Questions) Dataset

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- The agent must be able to decide whether it has enough info to answer the question
- If the agent cannot answer the question by reasoning alone, it must learn to query the simulator for a variable value

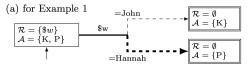
# **QRAQ** Problem

- C1. Hannah is in the garden.
- C2. \$u is Emma.
- C3. \$u is in the garden.
- C4. The gift is in the garden.
- C5. John is in the kitchen.
- C6. The ball is in the kitchen.
- C7. The skateboard is in the kitchen
- E1. Hannah picks up the gift.
- E2. John picks up \$x.
- E3. \$v goes from the garden to the kitchen.
- E4. \$w walks from the kitchen to the patio.
- E5. Having left the garden, \$u goes to the patio.
- Q. Where is the gift?
- GT. v = Hannah; w = Hannah; Answer = Patio

 $C_1, C_2, \dots$ : Context  $E_1, E_2, \dots$ : Events Q: Question GT: Ground Truth

# QRAQ Query Graph

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Legend: Nodes represent Agent state =  $(\mathcal{R}, \mathcal{A})$ .  $\mathcal{R}$  = relevant variables  $\mathcal{A}$  = possible answers to the challenge question.

Each arrow represents a variable query action (solid part) and observed outcomes (dashed part).

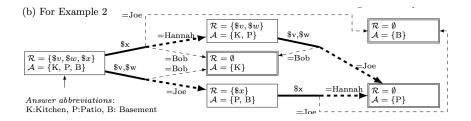
Multiple variables on a query-edge means that they have the same outcome set.

Double-bordered nodes have a unique challenge question answer.

A thick line denotes a ground-truth-path.

# QRAQ Query Graph

- C1. Joe is in the kitchen.
- C2. Bob is in the kitchen.
- C3. Hannah is in the patio.
- E1. \$v goes from the kitchen to the garden.
- E2. \$w goes from the garden to the patio.
- E3. \$x goes from the patio to the basement.
- Q. Where is Joe?
- GT. v = Joe; w = Joe; x = Hannah; answer = Patio



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- Oriable Query and Memory Update: If action is a query, simulator provides the true value vt for the variable in action at. All occurrences of variable in action at in the memory St are replaced with the true value vt : St+1 = St[at → vt]

- Initialization: Question vector c and memory matrix S<sub>0</sub> are initialized
- Action Selection: Policy π(a|S<sub>t</sub>, c) maps memory matrix S<sub>t</sub> and question c, into a distribution over actions. Action a could be either a query for a variable or a final answer
- Variable Query and Memory Update: If action is a query, simulator provides the true value  $v_t$  for the variable in action  $a_t$ . All occurrences of variable in action  $a_t$  in the memory  $S_t$  are replaced with the true value  $v_t : S_{t+1} = S_t[a_t \rightarrow v_t]$
- Final Answer Generation and Termination: If the action is an answer, task terminates and a reward is generated based on correctness

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# baseRL: End-to-End Memory Net Based Policy Learner

- Maps the memory matrix, *S*, and the challenge question representation, *c*, into an action distribution.
- $S^{ij}$  is dictionary index of  $j^{th}$  word in the  $i^{th}$  sentence
- $c_i$  is the dictionary index of the  $i^{th}$  word in the question

$$m_{i} = \sum_{j} l_{j} \circ A[S^{ij}]$$
(1)  
$$q = \sum_{j} l_{j} \circ A[c_{j}]$$
(2)

 $A \in \mathbb{R}^{d \times N}$ , A[k] returns the k-th column vector (sentence), d is embedding dimension, N is the dictionary size,

 $I_j^k = (1 - j/J)(k/d)(1 - 2j/J)$ , with J being the number of words in the sentences

## baseRL: End-to-End Memory Net Based Policy Learner

$$m_{i} = \sum_{j} l_{j} \circ A[S^{ij}]$$
(3)  
$$q = \sum_{j} l_{j} \circ A[c_{j}]$$
(4)

Output vector from reading  $\{m_i\}$  after the  $k^{th}$  hop is  $u_k$   $(u_0 = q)$ :

$$u_k = tanh(H(o_k + u_{k-1})) \tag{5}$$

$$o_k = \sum_i p_i^k m_i \tag{6}$$

$$p_i^k = softmax(u_{k-1}^T m_i) \tag{7}$$

(8)

**Query Network Output**. Since the problems have at most one variable per sentence, the distribution can be converted into the distribution over sentences:

$$\pi_Q^i = softmax(u_K^T m_i) \tag{9}$$

**Answer Network Output**. The final output of the policy module is a distribution over potential answers:

$$\pi_A = softmax(Wu_K + b) \tag{10}$$

- baseRL: Final action-distribution output only conditions on the last hop output
- impRL: computes the final action-distribution-output over all memory hop outputs

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#### impRL

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# impRL: Improved End-to-End Memory Net Based Policy Learner

$$\pi_Q^i = softmax(u^T m_i) \tag{11}$$

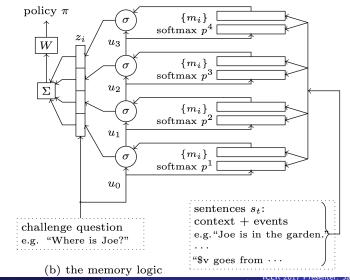
$$\pi_{A} = softmax(Wu + b) \tag{12}$$

$$u = \sum_{j} z_{j} u_{j} \tag{13}$$

$$z_j = softmax(q^T u_j) \tag{14}$$

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# impRL: Improved End-to-End Memory Net Based Policy Learner



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- +Reward when the action is the correct answer
- Reward when the action is a wrong answer
- -Reward when the action is a query to a variable
- Objective function is to optimize the expected cumulative reward over M training problem instances

$$\sum_{m=1}^{M} \mathbb{E}\{\sum_{t} r_t^m\}$$
(15)

 GPOMDP (Weaver & Tao (2001)) is used to calculate the policy gradient • 4 Types

## • 107,000 QRAQ problems in each type

- 100,000 training
- 2,000 testing
- 5,000 validation

- (Loc): Context and events describe locations and movements of people in rooms. Questions are about the location of a specific person
- (+obj): Adds objects to (Loc)
- (+alias): Adds aliases to (Loc) Some of them are defined in the context.
- (+par): Substitutes sentences with semantically equivalent paraphrases in (Loc)

- First encourage the agent to query variables by assigning positive rewards for querying any variable
- After convergence under this initial reward function, switch to the true reward function that assigns a negative reward for querying a variable to reduce the number of unnecessary queries
- +1 for correct final answers, -5 for wrong final answers, query reward +/-0.005

- Upper-bound on achievable reinforcement learning performance
- Relevant variables and (when appropriate) the correct final answers are provided at each turn, and the cross entropy is used to optimize

Trajectory: a sequence of variable queries followed by an answer

- Answer-accuracy: proportion of trajectories in which the agent answered correctly
- Trajectory-accuracy: proportion of trajectories in which the agent queried only relevant variables then answered correctly
- Trajectory-completeness: proportion of trajectories in which the agent queried all and only relevant variables before answering correctly
- Query accuracy: the proportion of correct queries among all queries made in any trajectory

## Results

Table 1: Datasets. The first 7 rows give statistics on the datasets themselves. The last 8 rows show results for answer accuracy (AnsAcc), trajectory accuracy (TrajAcc), trajectory completeness (TrajCmpl) and query accuracy (QryAcc) for the impRL and baseRL agents on the respective datasets. The middle 8 rows show results for the supervised learning agents.

Data Set			(Loc)			<i>(+obj)</i>	(+alias)	(+par)
#names in vocab	5	20	10	20	20	20	20	20
#var in vocab	5	20	10	20	20	20	20	20
#sentence/prob.	5-6	5-6	7 - 10	15 - 20	19-23	7-10	10-12	10-12
#var/prob.	0-2	0-2	0-2	0-3	5 - 10	5-10	0-5	0
depth	0-2	0-2	0-2	0-2	4-9	0-2	0-5	0
avg. depth	0.817	0.872	0.558	0.459	5.087	0.543	1.066	-
sum(depth) / sum(#var)	0.734	0.748	0.313	0.204	0.703	0.404	0.310	-
AnsAcc in %; impSL	99.9	99.5	92.1	95.3	91.4	95.9	90.7	99.8
AnsAcc in %; baseSL	99.9	99.2	92.3	92.4	90.2	95.5	86.6	98.8
TrajAcc in %; impSL	99.6	98.9	90.2	88.4	85.3	95.2	86.7	-
TrajAcc in %; baseSL	98.9	98.7	90.3	86.5	83.3	94.9	85.3	-
TrajCmpl in %; impSL	99.5	98.8	89.9	85.6	80.9	94.9	83.6	-
TrajCmpl in %; baseSL	98.7	98.7	90.0	83.5	78.7	94.6	82.9	-
QryAcc in %; impSL	99.5	99.2	96.4	84.6	93.5	97.7	93.7	-
QryAcc in %; baseSL	98.7	99.3	96.3	85.5	92.7	97.5	97.0	-
AnsAcc in %; impRL	99.1	94.4	86.5	89.0	64.2	81.1	75.7	96.9
AnsAcc in %; baseRL	98.4	95.0	88.4	88.2	54.6	79.6	69.7	97.2
TrajAcc in %; impRL	94.5	90.9	61.9	52.0	45.1	74.9	63.2	-
TrajAcc in %; baseRL	94.8	90.4	63.6	52.5	35.7	73.9	60.5	-
TrajCmpl in %; impRL	94.5	88.7	55.8	46.9	37.8	61.8	56.4	-
TrajCmpl in %; baseRL	94.6	89.5	59.9	47.4	28.3	61.2	54.5	-
QryAcc in %; impRL	94.3	95.4	49.2	32.1	80.0	69.6	77.0	-
QryAcc in %; baseRL	95.5	94.1	54.6	32.0	76.5	71.0	79.6	-

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- New dataset, QRAQ, for reasoning under insufficient information
- First to formulate these types of QA problems in the RL format