## Query-Reduction Networks for Question Answering

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## Outline

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## Introduction

Basic Premise and Motivation

- Want to address QA problem where multiple facts are required
- Examples of recent tasks are story-based QA and dialog tasks
- RNNs have inherently unstable long-term memory, making them unsuitable for multi-hop reasoning; can use global attention, but this doesn't account for time step of sentences
- Propose Query-Reduction Network (QRN) which reduces query to more informed queries over time
  - ▶ Query = Where is the apple? ⇒ "Sandra picked up the apple" ⇒ Query = Where is Sandra?

 Better encode locality information because it does not use global memory access controller

# Model Diagrams



Figure 1: (1a) QRN unit, (1b) 2-layer QRN on 5-sentence story, and (1c) entire QA system (QRN and input / output modules).  $\boldsymbol{x}, \boldsymbol{q}, \hat{\boldsymbol{y}}$  are the story, question and predicted answer in natural language, respectively.  $\mathbf{x} = \langle \mathbf{x}_1, \dots, \mathbf{x}_T \rangle, \mathbf{q}, \hat{\boldsymbol{y}}$  are their corresponding vector representations (upright font).  $\alpha$  and  $\rho$  are update gate and reduce functions, respectively.  $\hat{\mathbf{y}}$  is assigned to be  $\mathbf{h}_5^2$ , the local query at the last time step in the last layer. Also, red-colored text is the inferred meanings of the vectors (see 'Interpretations' of Section 5.3).

## Model Diagrams

Comparison



Figure 2: The schematics of QRN and the two state-of-the-art models, End-to-End Memory Networks (N2N) and Improved Dynamic Memory Networks (DMN+), simplified to emphasize the differences among the models. AGRU is a variant of GRU where the update gate is replaced with soft attention, proposed by Kumar et al. (2016). For QRN and DMN+, only forward direction arrows are shown.

## Model

- Given context (list of T sentences x<sub>1</sub>...x<sub>T</sub>) and question q, generate answer ŷ; true answer is y
- Three stages: input module, QRN layers, output module
- ▶ Input module maps each sentence  $x_i$  and q to  $\mathbb{R}^d$
- ▶ QRN layers generate predicted answer  $\hat{y} \in \mathbb{R}^d$  using vectors from input module

• Output module converts  $\hat{y}$  to natural language answer  $\hat{y}$ 

## **QRN** Unit

- QRN updates its hidden state (reduced query) through time and layers
- Accepts 2 inputs (local query vector qt ∈ ℝd and sentence vector xt ∈ ℝd); produces 2 outputs (reduced query vector ht ∈ ℝd and xt with no modification)
- ► Use update gate function  $\alpha : \mathbb{R}^d \times \mathbb{R}^d \to [0, 1]$  and reduce function  $\rho : \mathbb{R}^d \times \mathbb{R}^d \to \mathbb{R}^d$

- Update gate measures how relevant sentence is to query
- Reduce function produces reduced query

#### QRN Unit Function Formulas

 Update gate similar to global attention mechanism, only uses sigmoid on current memory slot, not entire memory (i.e. local sigmoid attention)

$$z_t = \alpha(\mathbf{x}_t, \mathbf{q}_t) = \sigma(\mathbf{W}^{(z)}(\mathbf{x}_t \circ \mathbf{q}_t) + b^{(z)})$$
(1)

$$\tilde{\mathbf{h}}_t = \boldsymbol{\rho}(\mathbf{x}_t, \mathbf{q}_t) = \tanh(\mathbf{W}^{(\mathbf{h})}[\mathbf{x}_t; \mathbf{q}_t] + \mathbf{b}^{(\mathbf{h})})$$
(2)

$$\mathbf{h}_t = z_t \tilde{\mathbf{h}}_t + (1 - z_t) \mathbf{h}_{t-1} \tag{3}$$

where  $z_t$  is the scalar update gate,  $\tilde{\mathbf{h}}_t$  is the candidate reduced query, and  $\mathbf{h}_t$  is the final reduced query at time step  $t, \sigma(\cdot)$  is sigmoid activation,  $\tanh(\cdot)$  is hyperboolic tangent activation (applied element-wise),  $\mathbf{W}^{(z)} \in \mathbb{R}^{1 \times d}$ ,  $\mathbf{W}^{(\mathbf{h})} \in \mathbb{R}^{d \times 2d}$  are weight matrices,  $b^{(z)} \in \mathbb{R}$ ,  $\mathbf{b}^{(\mathbf{h})} \in \mathbb{R}^d$  are bias terms,  $\circ$  is element-wise vector multiplication, and [;] is vector concatenation along the row. As a base case,  $\mathbf{h}_0 = \mathbf{0}$ . Here we have explicitly defined  $\alpha$  and  $\rho$ , but they can be any reasonable differentiable functions.

## **QRN** Unit

- Can stack QRN units (in earlier figure); let  $q_t^{k+1} = h_t^k$
- ► Incorporate bi-direction since sometimes query answers depend on future sentences; use sum of both direction states  $q_t^{k+1} = \overrightarrow{h}_t^k + \overleftarrow{h}_t^k$
- Take ŷ = h<sup>K</sup><sub>t</sub> where K is number of QRN layers, then convert to ŷ using output module

## QRN Unit

- ► Reset Gate: Function β : ℝ<sup>d</sup> × ℝ<sup>d</sup> → [0, 1] which allows nullification of candidate query
- Vector Gate: Allow update and reset gates to be vectors instead of scalars for more fine-controlled gating

$$r_t = \beta(\mathbf{x}_t, \mathbf{q}_t) = \sigma(\mathbf{W}^{(r)}(\mathbf{x}_t \circ \mathbf{q}_t) + b^{(r)})$$
(5)

where  $\mathbf{W}^{(r)} \in \mathbb{R}^{1 \times d}$  is a weight matrix, and  $b^{(r)} \in \mathbb{R}$  is a bias term. Equation 3 is rewritten as

$$\mathbf{h}_t = z_t r_t \tilde{\mathbf{h}}_t + (1 - z_t) \mathbf{h}_{t-1}.$$
(6)

#### Parallelization

► Can decompose equation 3 (h<sub>t</sub> = z<sub>t</sub> h̃<sub>t</sub> + (1 + z<sub>t</sub>)h<sub>t-1</sub>) into computing over only candidate reduced queries (h̃<sub>t</sub>)) without worrying about previous hidden state

More details in paper

$$\mathbf{h}_t = \sum_{i=1}^t \left[ \prod_{j=i+1}^t 1 - z_j \right] z_i \tilde{\mathbf{h}}_i = \sum_{i=1}^t \exp\left\{ \sum_{j=i+1}^t \log\left(1 - z_j\right) \right\} z_i \tilde{\mathbf{h}}_i.$$

Data and Model Details

- Tested on bAbl story-based QA, bAbl dialog, and DSTC2 (Task 6) dialog datasets
- For input module, use trainable embedding matrix A ∈ ℝ<sup>dxV</sup> to get d dimensional one-hot vector for each word in sentence or query; then get sentence or query representation using Postion Encoder (Weston et al., 2015)

Data and Model Details

 For story-based QA output model, use V-way (V = size of vocabulary) single layer softmax layer, then pick argmax word

 For dialog output model, use fixed number single-layer softmax classifiers to sequentially output next word

#### Experiments Results

- Compare with baselines and previous state-of-the-art models: LSTM, End-to-end Memory Networks (N2N), Dynamic Memory Networks (DMN+), Gated End-to-end Memory Networks (GMemN2N), and Differentiable Neural Computer (DNC)
- Also perform ablations with number of layers, reset gate, gate vectorization, and dimension of hidden vector

Table Results

	1k								10k					
Task		QRN				QRN								
	LSTM	N2N	DMN+ <sup>†</sup>	GMe	emN2N	2r	3r	N2N	DMN+	GMemN2N	DNC	6r200		
# Failed	20	10	16	10		7	5	3	1	3	2	0		
Average error rates	51.3	15.2	33.2	12.7		9.9	11.3	4.2	2.8	3.7	3.8	0.3		
						Plain		With Match						
Task				Prev	ious wo	rks QRN			Prev	ious works	QRN	-		
					GMem	N2N	2r	2r100	N2N+	GMemN2N+	2r+	-		
bAbI dialog Average error rates					14.	3	5.5	5.5	6.7	5.4	1.5	-		
bAbI dialog (OOV) Average error rates					30.3 27.		11.1	11.1	11.2 10.3		2.3			
DSTC2 dialog Average error rates					8.9 52.		49.5	48.9	59.0	51.3	49.3	_		

Table 1: (top) bAbI QA dataset (Weston et al., 2016): number of failed tasks and average error rates (%). <sup>†</sup> is obtained from github.com/therne/dmn-tensorflow. (bottom) bAbI dialog and DSTC2 dialog dataset (Bordes and Weston, 2016) average error rates (%) of QRN and previous work (LSTM, N2N, DMN+, GMemN2N, and DNC). For QRN, the first number (1, 2, 3) indicates the number of layers, 'r' means the reset gate is used, and the last number (100, 200), if exists, indicates the dimension of the hidden state, where the default value is 50. '+' indicates that 'match' (See Appendix for details) is used. The task-wise results are shown in Appendices: Table 2 (bAbI QA) and Table 3 (dialog datasets). See Section 5.3 for details.

#### Experiments Ablation Analysis

- Model could not reason well when layers too low; harder to train when layers too high
- Reset gate helps results
- Vector gates hurt for 1k dataset since model overfits or converges to local minima

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Larger hidden size helps some cases

More Observations

- Parallelization speeds up QRN on average by 6.2x
- Advantage of QRN is we can interpret intermediate queries using decoder; can track how query changes
- Can also visualize reset and update gate magnitudes; low reset gate magnitude r means candidate query from current sentence is misrepresentative, low update gate magnitude z means sentence irrelevant to query

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Magnitude Visualization

		Layer 1			Layer 2		Layer 1				Layer 2
Task 2: Two Supporting Facts		$z^1$	$\overrightarrow{r}^{1}$	$\overline{r}^{1}$	$z^2$	Task 15: Deduction	$z^1$	1	<b>→</b> 1	$\overline{r}^{1}$	$z^2$
Sandra picked up the apple there.		0.95	0.89	0.98	0.00	Mice are afraid of wolves.	0.11	0	.99	0.13	0.78
Sandra dropped the apple.		0.83	0.05	0.92	0.01	Gertrude is a mouse.	0.77	0	.99	0.96	0.00
Daniel grabbed the apple there.		0.88	0.93	0.98	0.00	Cats are afraid of sheep.	0.01	0	.99	0.07	0.03
Sandra travelled to the bathroom.		0.01	0.18	0.63	0.02	Winona is a mouse.		0	.85	0.77	0.05
Daniel went to the hallway.		0.01	0.24	0.62	0.83	Sheep are afraid of wolves.	0.02	0	.98	0.27	0.05
Where is the apple?		hallway				What is Gertrude afraid of?		wolf			
	Layer 1 Layer 2			Layer 2					Layer 1		
Task 3: Displaying options	$z^1 \overrightarrow{r}^1 \overleftarrow{r}^1$		$z^2$	Task 6: I	Task 6: DSTC2 dialog			$\overrightarrow{r}^1$	$\overline{r}^1$	$z^2$	
resto-paris-expen-frech-8stars?	0.00	1.00	0.96	0.91	Spanish food.			).84	0.07	0.00	0.82
Do you have something else?	0.41 0.99 0.00 0.00		You are	You are lookng for a spanish restaurant right?			0.02	0.49	0.75		
Sure let me find another option.	1.00	0.00	0.00	0.12	Yes.		(	0.01	1.00	0.33	0.13
resto-paris-expen-frech-5stars? 0.00		1.00	0.96	0.91	What part of town do you have in mind? 0.20 0.73 0.41					0.11	
No this does not work for me.	0.00	0.00	0.14	0.00	I don't care.		(	00.	1.00	0.02	0.00
Sure let me find an other option	1.00	0.00	0 0.00 0.12 What price		What pri	ce range would you like?		72	0.46	0.52	0.72
Sure let me mu an other option.	1.00	0.00	0.00	0.12	II What pri	ce range would you like.			0.40	0.52	0.72

Figure 3: (top) bAbI QA dataset (Weston et al., 2016) visualization of update and reset gates in QRN '2r' model (bottom two) bAbI dialog and DSTC2 dialog dataset (Bordes and Weston, 2016) visualization of update and reset gates in QRN '2r' model. Note that the stories can have as many as 800+ sentences; we only show part of them here. More visualizations are shown in Figure 4 (bAbI QA) and Figure 5 (dialog datasets).

## Conclusion

- Introduced QRNs for QA and dialog tasks which require multi-hop reasoning
- Showed state-of-the-art performance for story-based QA and dialog tasks
- QRN effectively handles time dependency and long-term dependency problems present in attention mechanisms and RNNs

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 QRNs can be parallelized and address RNN's vanishing gradient problem

### References

#### https://arxiv.org/pdf/1606.04582.pdf

