#### Survey of data generation and evaluation in Interpreting DNN pipelines

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24 April 2020

# Approach-1a Generating data from probability distributions/functions

- We specifically model the data to find out what exactly we want to test
- "Can I trust you more? Model-agnostic Hierarchical Explanations" Tsang et al.
  - Objective is to study the interactions b/w the features.
  - Generated data from functions have interactions between the variables pre-defined in the generating functions
- Sorokina et al. Used a random function generator (Hooker)
- Detecting Statistical Interactions from Neural Network Weights, **Tsang** et al., ICLR 2018 again used functions to model interactions between features
- All these methods are modelling interactions between features

$F_1(\mathbf{x})$	$\pi^{x_1x_2}\sqrt{2x_3} - \sin^{-1}(x_4) + \log(x_3+x_5) - rac{x_9}{x_{10}}\sqrt{rac{x_7}{x_8}} - x_2x_7$
$F_2(\mathbf{x})$	$\pi^{x_1x_2}\sqrt{2 x_3 } - \sin^{-1}(0.5x_4) + \log( x_3+x_5 +1) + rac{x_9}{1+ x_{10} }\sqrt{rac{x_7}{1+ x_8 }} - x_2x_7$
$F_3(\mathbf{x})$	$\exp  x_1 - x_2  +  x_2 x_3  - x_3^{2 x_4 } + \log (x_4^2 + x_5^2 + x_7^2 + x_8^2) + x_9 + \frac{1}{1 + x_{10}^2}$
$F_4(\mathbf{x})$	$\exp x_1 - x_2  +  x_2x_3  - x_3^{2 x_4 } + (x_1x_4)^2 + \log(x_4^2 + x_5^2 + x_7^2 + x_8^2) + x_9 + \frac{1}{1 + x_{10}^2}$
$F_5(\mathbf{x})$	$rac{1}{1+x_1^2+x_2^2+x_3^2}+\sqrt{\exp(x_4+x_5)}+ x_6+x_7 +x_8x_9x_{10}$
$F_6(\mathbf{x})$	$\exp\left( x_1x_2 +1\right) - \exp( x_3+x_4 +1) + \cos(x_5+x_6-x_8) + \sqrt{x_8^2+x_9^2+x_{10}^2}$
$F_7(\mathbf{x})$	$\left(\arctan(x_1) + \arctan(x_2)\right)^2 + \max(x_3x_4 + x_6, 0) - \frac{1}{1 + (x_4x_5x_6x_7x_8)^2} + \left(\frac{ x_7 }{1 +  x_9 }\right)^5 + \sum_{i=1}^{10} x_i$
$F_8(\mathbf{x})$	$x_1x_2 + 2^{x_3 + x_5 + x_6} + 2^{x_3 + x_4 + x_5 + x_7} + \sin(x_7\sin(x_8 + x_9)) + \arccos(0.9x_{10})$
$F_9(\mathbf{x})$	$\tanh(x_1x_2+x_3x_4)\sqrt{ x_5 }+\exp(x_5+x_6)+\log\left((x_6x_7x_8)^2+1\right)+x_9x_{10}+\frac{1}{1+ x_{10} }$
$F_{10}({f x})$	$\sinh(x_1 + x_2) + \arccos(\tanh(x_3 + x_5 + x_7)) + \cos(x_4 + x_5) + \sec(x_7 x_9)$

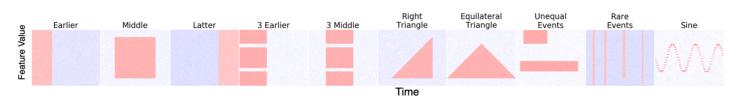
Table 1: Test suite of data-generating functions

Table 2: Data generating functionswith interactions

	$F_1(\mathbf{x}) =$	$10x_1x_2 + \sum_{i=3}^{10} x_i$
	$F_2(\mathbf{x}) =$	$x_1 x_2 + \sum_{i=3}^{10} x_i$
	$F_3(\mathbf{x}) =$	$\exp( x_1 + x_2 ) + \sum_{i=3}^{10} x_i$
	$F_4(\mathbf{x}) =$	$10x_1x_2x_3 + \sum_{i=4}^{10} x_i$

#### Approach-1b Manually creating data and modelling attention\*

- Generating data according to certain conditions which are desirable to the distribution
- This method allows to visually "see" the data and manually custom-craft the data distribution and features
  - Used in Input-Cell Attention, Ismail et al., 2019.
  - Think architecture first, Manuscript



- Neural Network Attributions: A Causal Perspective, Chattopadhyay et al.
  - Sample individual sequences uniformly of length between [T, T + 5]. We used T = 10. Let  $x^t$  refer to the sequence value at length t.
  - $\forall i; 2 < i \leq T$  Sample  $x^i \sim \mathcal{N}(0, 0.2)$ .
  - With probability 0.5 either (a) sample  $\forall i; 0 \leq i < 3$  $x^i \sim \mathcal{N}(1, 0.2)$  and label such sequences class 1 or (b) sample  $\forall i; 0 \leq i < 3 \ x^i \sim \mathcal{N}(-1, 0.2)$  and label such sequences class 0.

#### Approach 2 - Modifying real datasets

- Altering real datasets
  - Adding color to Color MNIST REPAIR, Li et al. and CDEP, Reiger et al. Adding color to MNIST - to learn if model looks at color or shape
  - DecoyMNIST adding gray patch to the corner for images
  - Spurious signals (noise) in SST



- Creating new features from original datasets:
  - As described by using SLIC superpixel in images to graphs
  - Using word relations to create graphs

#### **Evaluation Methods**

## Evaluation Methodologies (1)

- Approach-1: Using qualitative assessments visuals like saliency maps in images or scores of different words in NLP tasks [Might include Human experiments]
- Papers using this approach:
  - Contextual Decomposition (CD), Murdoch et al., ICLR 2018
    - Picked phrases which showed ability to show negation
  - Hierarchical Interpretations of NN Predictions (ACD), Singh et al., ICLR 2019
    - Shown scores on images and sentences visually + human experiments
  - GradCAM, Selvaraju et al., ICCV 2017
    - Visual examination + error rates
  - Axiomatic Attribution for Deep Networks (IG), Sundararajan et al., ICML 2017
    - Visual examination + human perceived
  - "Why Should I Trust You?" Explaining the Predictions of Any Classifier, Ribeiro et al., SIGKDD, 2016
    - Human experiments to identify the important regions
  - Explaining Explanations: Axiomatic Feature Interactions for Deep Networks, Janizek et al., 2020
    - Qualitative experiments on samples from datasets

- Papers with this approach
  - Neural Network Attributions: A Causal Perspective
    - Qualitative + simulated data
  - Can I trust you more? Model agnostic hierarchical explanations, Tsang et al, ICLR 2019
    - Randomly chosen phrases from the dataset, manual inspection
  - Interpretations are useful: penalizing explanations to align neural networks with prior knowledge, Reiger et al. ICLR 2020 (reject)
    - Penalizing interactions adding to loss function, training the network

#### Evaluation Methodologies (2)

- **Approach-2: Comparison** between different methods [Usually occurs along with papers in Approach-1]
- Papers using this approach:
  - Contextual Decomposition, Murdoch et al., ICLR 2018
    - Compared correlation b/w LR and CD, Gradient, LOO, Cell Decomp,IG
  - Sanity Checks for Saliency Maps, Adebayo et al., NIPS 2018
    - Correlation between various saliency methods and sanity checking their effectiveness by using experiments

## Evaluation Methodologies (3)

- Approach-3: Masking the most important attributions (the ones found with highest scores) and calculating the drop in accuracy/performance [When proposing new attribution technique]
- Papers using this approach:
  - Data-Shapley : What is your data worth? Equitable Valuation of Data, Ghorbani et al., ICML 2019
    - Calculating score of a data point, removing highest, drop in accuracy calculated
  - L-Shapley and C-Shapley: Efficient Model Interpretation for Structured Data, Chen et al., ICLR 2019
    - Masking important features on text and images and calculating drop in Log odds ratio
  - Think Architecture First, Manuscript, 2020
    - Masking important features, drop in accuracy in LSTMs

#### Evaluation Methodologies (4)

- Approach-4: Correlation between weights and outputs (for methods which claim a particular technique provides good explanation) or dependence
- Papers which use this approach:
  - Attention is not Explanation, Jain et al., ACL, 2019
    - Exploring correlation between attention weights and importance scores of grads/LOO showing no significant correlations

## Evaluation Methodologies (5)

#### • Approach-5: Robustness

- Interpretation of Neural Networks is Fragile\*, Ghorbani et al., AAAI 2019
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