## Summary Of Several Autoencoder models

Presentor: Ji Gao



Department of Computer Science, University of Virginia <u>https://qdata.github.io/deep2Read/</u>

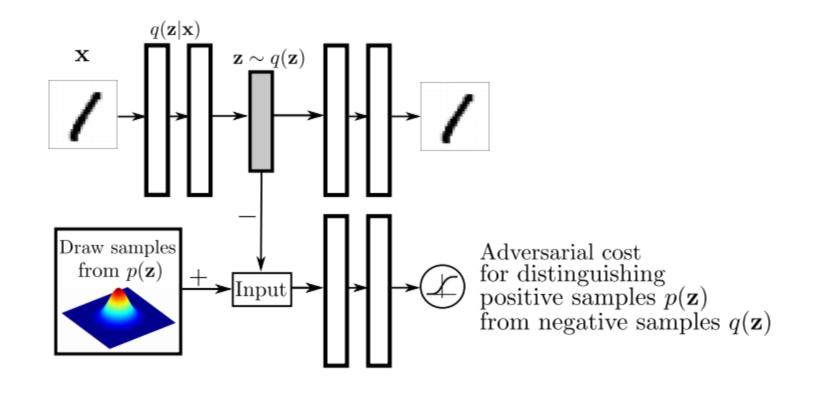
#### List

- Adversarial Autoencoders
- PixelGAN Autoencoders
- Generating and designing DNA with deep generative models
- Feedback GAN (FBGAN) for DNA: a Novel Feedback-Loop Architecture for Optimizing Protein Functions
- Autoregressive Generative Adversarial Networks

#### Adversarial autoencoders

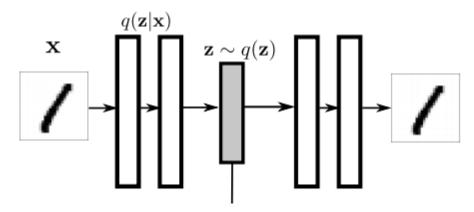
Alireza Makhzani, Jonathon Shlens, Navdeep Jaitly, Ian Goodfellow, Brendan Frey

• Use adversarial learning in training autoencoders

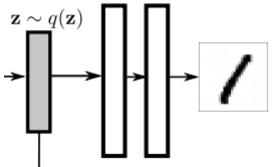


#### Autoencoders

• Autoencoder



 Decoder = Generator: Start from a prior (often normal distribution), produce sample



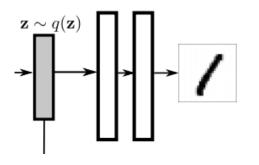
#### Autoencoders

Why Decoder work?

• Any distribution in *d* dimension can be generated by a sufficiently complicated function on *d* normally distributional variables.

nsion can be generated by a sufficiently normally distributional variables.

#### Why not directly optimize decoder?



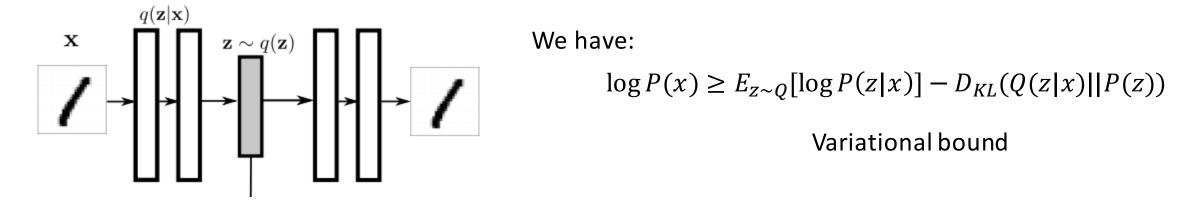
- If directly optimize decoder via sampling, it will take exponentially number of samples (And also exponentially parameters)
- A lot of the sampling are useless, for a X, we only need the part of z that are likely to produce X
- Find most likely z to produce X can save huge amount of time and make the process tractable

#### Variational Autoencoder

Latent variable  $z \sim P(z)$  If we sample Q(z) to approximate P(x), we have

 $\begin{aligned} D_{KL}(Q(z)||P(z|x)) &= E_{z \sim Q}[\log Q(z) - \log P(z|x)] \\ &= E_{z \sim Q}[\log Q(z) - \log P(x|z) - \log P(z) + \log P(x)] & \text{Bayesian} \\ &\log P(x) - D_{KL}(Q(z)||P(z|x)) = E_{z \sim Q}[\log P(z|x)] - D_{KL}(Q(z)||P(z)) \end{aligned}$ 

Reasonable to let Q(z) conditioned on x.



#### Variational Autoencoder

 $\log P(x) \ge E_{z \sim Q}[\log P(z|x)] - D_{KL}(Q(z|x)||P(z))$ In VAE, we let  $Q(z|x) = N(z|\mu(x;\theta), \Sigma(x;\theta))$ 

In this case:

 $E_{X\sim D}\left[\log P(X) - \mathcal{D}\left[Q(z|X) \| P(z|X)\right]\right] = E_{X\sim D}\left[E_{z\sim Q}\left[\log P(X|z)\right] - \mathcal{D}\left[Q(z|X) \| P(z)\right]\right]$ Sample x and z, we have  $\log P(X|z) - \mathcal{D}\left[Q(z|X) \| P(z)\right]$ 

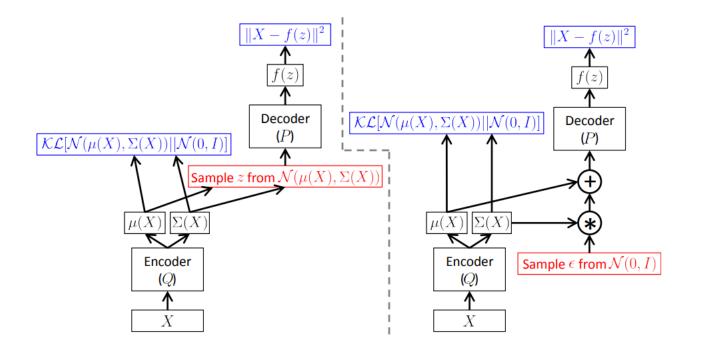
#### Posterier

• Gaussian Posterier

 $P(X|z;\theta) = \mathcal{N}(X|f(z;\theta),\sigma^2 * I).$ 

#### Variational Autoencoder

From Tutorial on Variational Autoencoders <a href="https://arxiv.org/abs/1606.05908">https://arxiv.org/abs/1606.05908</a>



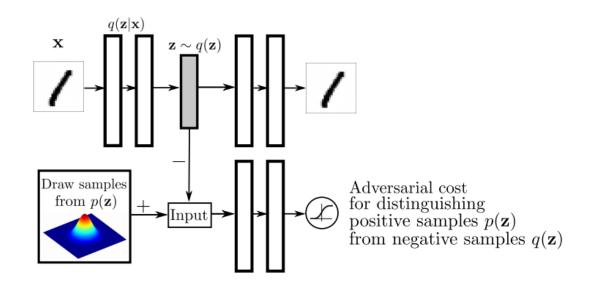
$$z=\mu(X)+\Sigma^{1/2}(X)*\epsilon$$

#### Adversarial autoencoder

VAE works on

 $\log P(x) \ge E_{z \sim Q}[\log P(x|z)] - D_{KL}(Q(z|x)||P(z))$ 

- $D_{KL}(Q(z|x)||P(z))$  term can be optimized in adversarial training
- Train repeatedly in two steps:
- 1. Maximize  $E_{z \sim Q}[\log P(x|z)]$
- 2. Minimize the distance between Q(z|x) and P(z)

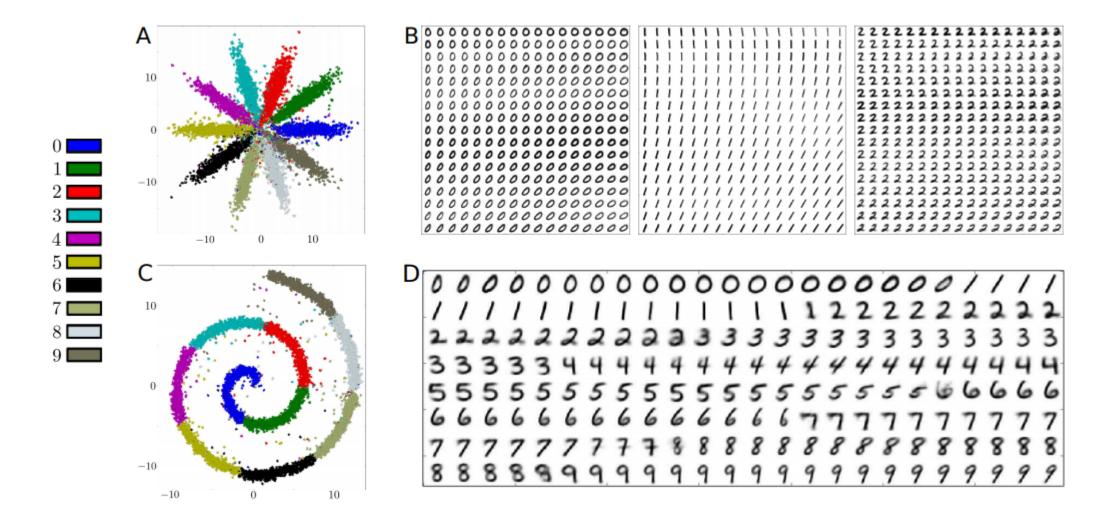


#### Freedom of choosing q()

- Compare to VAE, in this form it can be optimized using several different ways:
- 1. Deterministic: q(z|x) is a deterministic function on x
- 2. Gaussian posterior:  $Q(z|x) = N(z|\mu(x;\theta), \Sigma(x;\theta))$  similar to VAE. Can use the same reparameterization
- 3. Universal approximator posterior,  $q(z|x,\eta) = \delta(z f(x,\eta))$

$$q(\mathbf{z}|\mathbf{x}) = \int_{\eta} q(\mathbf{z}|\mathbf{x},\eta) p_{\eta}(\eta) d\eta \quad \Rightarrow \quad q(\mathbf{z}) = \int_{\mathbf{x}} \int_{\eta} q(\mathbf{z}|\mathbf{x},\eta) p_{d}(\mathbf{x}) p_{\eta}(\eta) d\eta d\mathbf{x}$$

#### Adversarial autoencoder performance

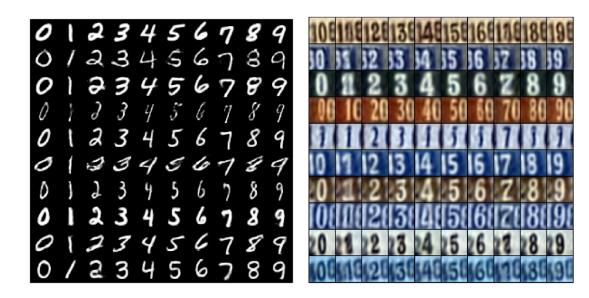


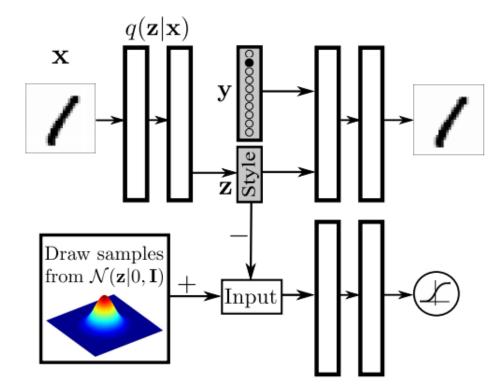
### Log likelihood

	MNIST (10K)	MNIST (10M)	TFD (10K)	TFD (10M)
DBN [Hinton et al., 2006]	$138 \pm 2$	-	$1909 \pm 66$	-
Stacked CAE [Bengio et al., 2013]	$121 \pm 1.6$	-	$2110\pm50$	-
Deep GSN [Bengio et al., 2014]	$214 \pm 1.1$	-	$1890 \pm 29$	-
GAN [Goodfellow et al., 2014]	$225 \pm 2$	386	$2057\pm26$	-
GMMN + AE [Li et al., 2015]	$282 \pm 2$	-	$2204 \pm 20$	-
Adversarial Autoencoder	$340\pm2$	427	$2252 \pm 16$	2522

#### Supervised learning

 Fully supervised learning to generate samples in a particular way



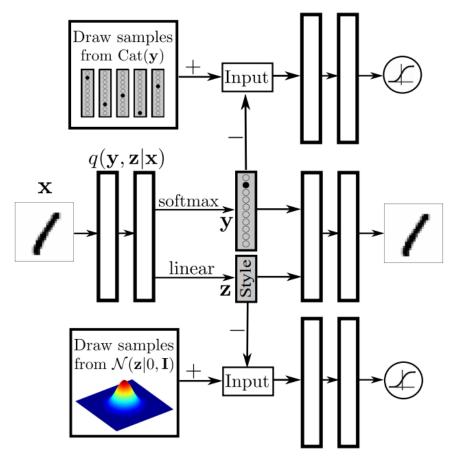


#### Semi-supervised learning

- 2 adversarial nets: One with categorical data
- Train in three phases:
- 1. Reconstruction phase
- 2. Regularization phase
- 3. Semi-supervised phase

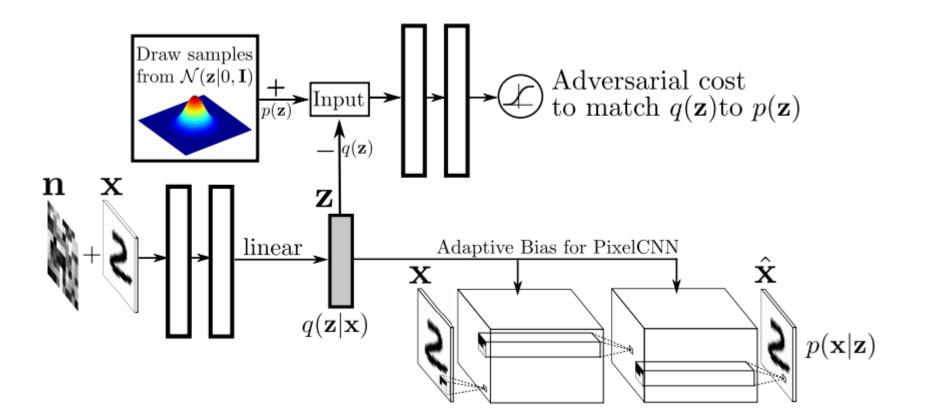
	MNIST (100)	MNIST (1000)	MNIST (All)	SVHN (1000)
NN Baseline	25.80	8.73	1.25	47.50
VAE (M1) + TSVM	$11.82 (\pm 0.25)$	$4.24 (\pm 0.07)$	-	$55.33 (\pm 0.11)$
VAE (M2)	$   11.97 (\pm 1.71)$	$3.60 (\pm 0.56)$	-	-
VAE $(M1 + M2)$	$3.33 (\pm 0.14)$	$2.40(\pm 0.02)$	0.96	$36.02 (\pm 0.10)$
VAT	2.33	1.36	$0.64 (\pm 0.04)$	24.63
CatGAN	$1.91 (\pm 0.1)$	$1.73 (\pm 0.18)$	0.91	-
Ladder Networks	$1.06 (\pm 0.37)$	$0.84 (\pm 0.08)$	$0.57 (\pm 0.02)$	-
ADGM	$0.96 (\pm 0.02)$	-	-	$16.61 (\pm 0.24)$
Adversarial Autoencoders	$1.90 (\pm 0.10)$	$1.60 (\pm 0.08)$	$0.85 (\pm 0.02)$	$17.70 (\pm 0.30)$
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Table 2: Semi-supervised classification performance (error-rate) on MNIST and SVHN.



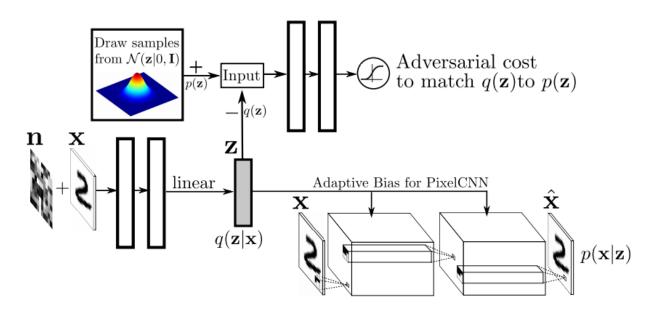
#### **PixelGAN Autoencoders**

Alireza Makhzani, Brendan Frey

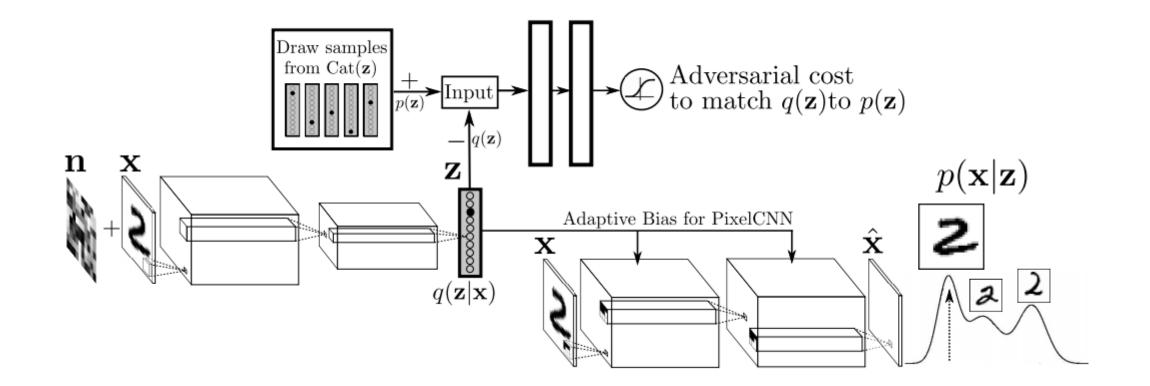


#### PixelGAN Autoencoders

- Use PixelCNN as the generative path
- PixelCNN conditioned on q(z|x)



#### Categorical prior



#### Experiment

	MNIST (Unsupervised)	MNIST (20 labels)	MNIST (50 labels)	MNIST (100 labels)	SVHN (500 labels)	SVHN (1000 labels)	NORB (1000 labels)
VAE [25]	-	-	-	$3.33(\pm 0.14)$	-	$36.02 (\pm 0.10)$	$  18.79 (\pm 0.05) $
VAT [26]	-	-	-	2.33	-	24.63	9.88
ADGM [27]	-	-	-	$0.96 (\pm 0.02)$	-	22.86	$10.06 (\pm 0.05)$
SDGM [27]	-	-	-	$1.32(\pm 0.07)$	-	$16.61 (\pm 0.24)$	$9.40(\pm 0.04)$
Adversarial Autoencoder [6]	$4.10(\pm 1.13)$	-	-	$1.90(\pm 0.10)$	-	$17.70(\pm 0.30)$	- 1
Ladder Networks [28]	- 1	-	-	$0.89(\pm 0.50)$	-	-	-
Convolutional CatGAN [24]	4.27	-	-	$1.39(\pm 0.28)$	-	-	-
InfoGAN [18]	5.00	-	-	-	-	-	-
Feature Matching GAN [29]	-	$16.77 (\pm 4.52)$	$2.21 (\pm 1.36)$	$0.93 (\pm 0.06)$	$18.44 (\pm 4.80)$	$8.11 (\pm 1.30)$	-
Temporal Ensembling [30]	-	-	-	-	$7.05(\pm 0.30)$	$5.43(\pm 0.25)$	-
<b>PixelGAN Autoencoders</b>	5.27 $(\pm 1.81)$	$12.08 (\pm 5.50)$	$1.16 (\pm 0.17)$	$1.08 (\pm 0.15)$	$  10.47 (\pm 1.80)$	$6.96 (\pm 0.55)$	8.90 (±1.0)

Table 1: Semi-supervised learning and clustering error-rate on MNIST, SVHN and NORB datasets.

# Generating and designing DNA with deep generative models

Nathan Killoran, Leo J. Lee, Andrew Delong, David Duvenaud, Brendan J. Frey

- 2017
- Three approaches to generate DNA sequence:
- 1. GAN
- 2. Activation maximization(Deep Dream)
- 3. A joint of 1 and 2

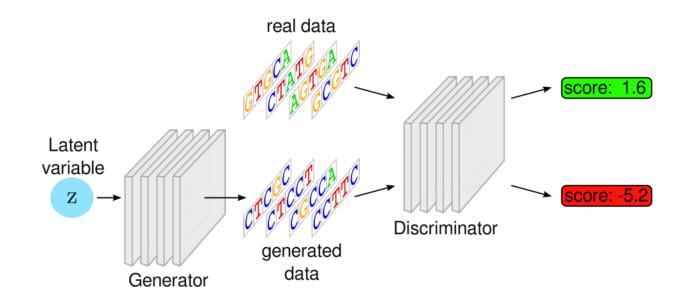
#### GAN on discrete output

- DNA sequence is discrete, similar to NLP task
- WGAN-GP can generate the sequence in the direct way: Let GAN directly output one-hot character embeddings from a latent vector without any discrete sampling step. Softmax directly passed to critic.

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#### GAN on DNA

• Use such method on DNA:



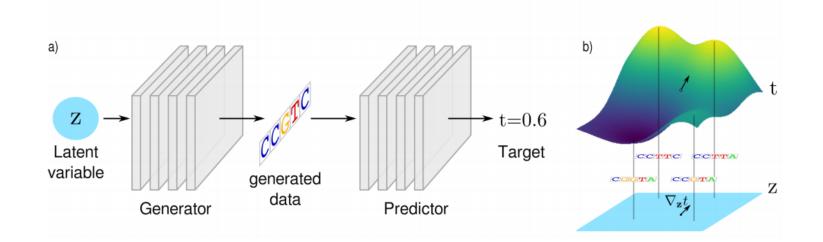
#### Activation Maximization

- The method is actually Deep Dream:
- Start from sample x, make it target at a certain property t(output)
- $x \to x + \epsilon \nabla_x t$
- Works on continuous case, so need to relax discrete symbols into continuous case

$$\mathbf{x}_{ij} = \frac{\exp(\mathbf{z}_{ij})}{\sum_{k=1}^{4} \exp(\mathbf{z}_{ik})}.$$

#### Joint method

- Use GAN to generate sample
- Use activation maximization to optimize a sample to certain properties



#### Experiment: Motif

a)

b)

 Sample sequences tuned to have a high predictor score



TGAGAGTGATGTATTGGAATTGATGCCTCACCTCTGCTTGCAGACTGTCA GGAATGAACTGGGGGAGACAGGCCCAGAGGAATTGAGAAAGTAATGAGCAC GCCCTGGGTTTTAAGAAATACTGTTGCATCAGGGGCAAATGTAAGATTTTG TTTTGTTTGAGATCTGTGGGGGTATGCTGGAATTAAAGTCTGGACTACCAC CTGATACTGAATGCAGATTTGAAGAACAAAGGGTATTAAAACACATGCTT GATCCCCAAGTGTGGGAATTGAGAAGGAAGCTGGAGAATCCCCCAAACTCTG CAGCCACATCAGCTTACCTAAGGAAGGAAGCTGGAGAATCCCCCAAACTCTG TAGAATTTTTCTTGGTATTAATGATGATCTAGGCTTACACAGGGACATCA GACATTGCTTAGTCTGAGGGATACAGTGGGGGAGTGGGGTATTAAAATCTCC ACATGCCTGAGACATTCCTGCTCTTGAATCTGAGGGAATTATGCTTAATCC

#### Experiment

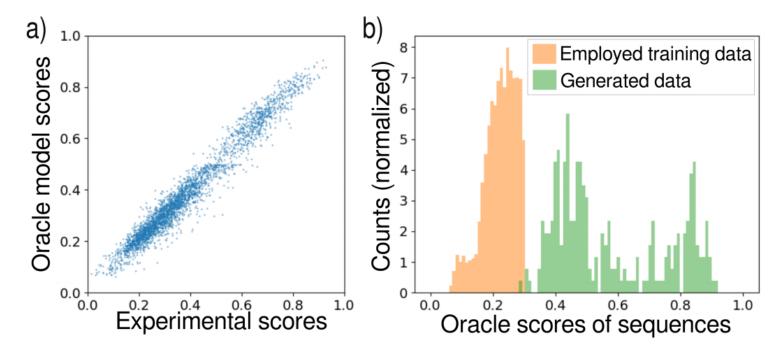


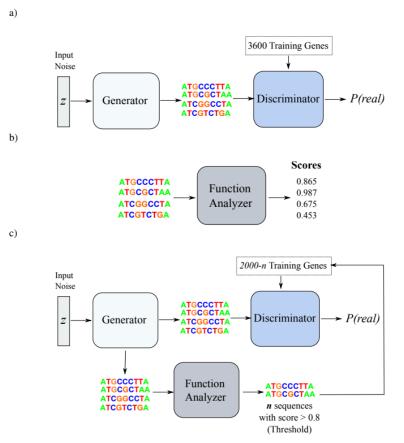
Figure 8: Protein binding optimization with a learned predictor model. a) Original experimental data contains sequences and measured binding scores (horizontal axis); we fit a model to this data (vertical axis) to serve as an oracle for scoring generated sequences. Plot shows scores on held-out test data (Spearman correlation 0.97). b) Data is restricted to sequences with oracle scores in the 40th percentile (orange distribution), then used to train a generator and predictor model. Generated sequences are optimized to have as high binding score as possible. These genererated samples are then scored with the oracle (green distribution). The design process has clearly picked up enough structure that it can generalize well beyond the training data.

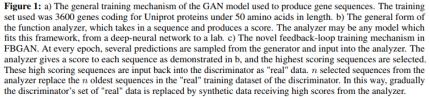
#### Feedback GAN (FBGAN) for DNA: a Novel Feedback-Loop Architecture for Optimizing Protein Functions *Anvita Gupta, James Zou*

- 2018
- Target: Design DNA automatically following some properties

#### Feedback GAN

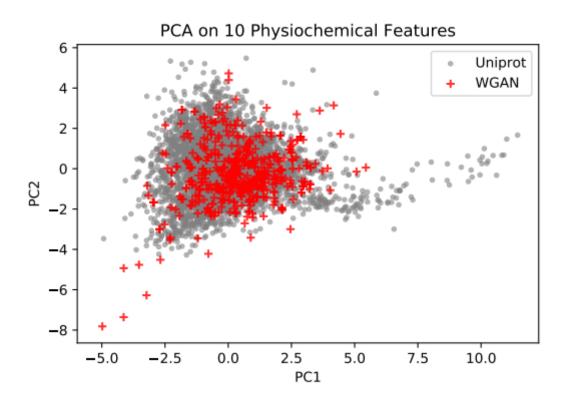
- (a) WGAN-GP as generator
- (b) Analyzer: suppose to be any function
  - Rate the generated samples
  - Mark the top sorted samples as real samples
- (c) Feedback scheme
  - Send the top sorted sample back to the discriminator





#### Evaluation

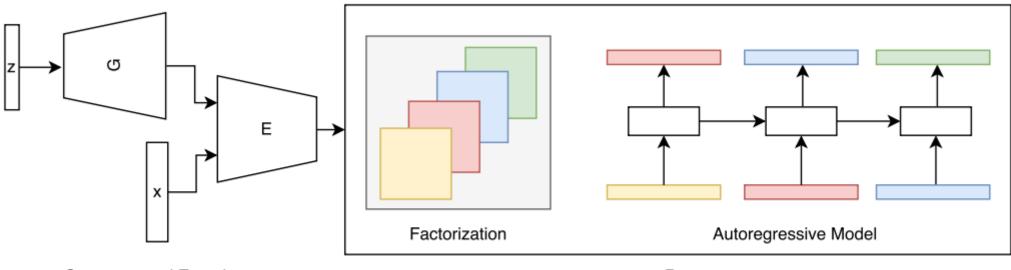
- Before training, 3.125% of sequences initially followed the correct gene structure
- After training, 77.08% of sampled sequences contained the correct gene structure



#### Autoregressive Generative Adversarial Networks

Yasin Yazici, Kim-Hui Yap, Stefan Winkler

• ICLR 18 Workshop

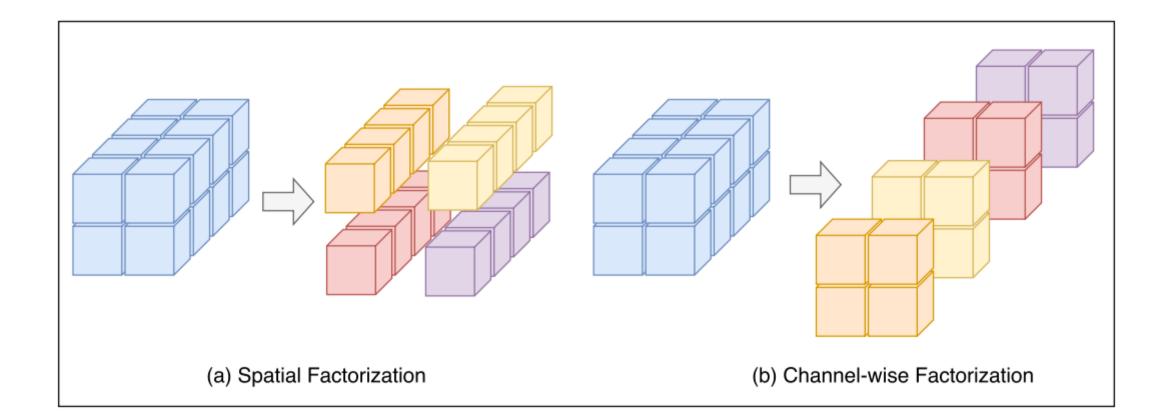


Generator and Encoder

#### ARGAN

- Replace discriminator into a CNN + Autoregressive model
- Motivation: an autoregressive model would model the feature distribution better than fully connected layers

#### S-ARGAN and C-ARGAN



#### Result

Model	CIFAR-10	STL-10
Real data	$11.24\pm0.16$	$26.08\pm0.26$
ALI (Dumoulin et al., 2016)	$5.34\pm0.05$	-
BEGAN (Berthelot et al., 2017)	5.62	-
D2GAN (Dinh Nguyen et al., 2017)	$7.15\pm0.07$	7.98
MGGAN (Hoang et al., 2017)	8.23	9.09
DFM (Warde-Farley & Bengio, 2017)	$7.72\pm0.13$	$8.51\pm0.13$
Improved-GAN (Salimans et al., 2016)	$6.86\pm0.06$	-
DCGAN (Radford et al., 2015)	$6.40\pm0.05$	7.54
EBGAN (Zhao et al., 2016)	$6.74\pm0.09$	-
WGAN-GP (ResNet) (Gulrajani et al., 2017)	$7.86 \pm 0.07$	$9.05\pm0.12$
WGAN-GP (DCGAN) (Our implementation)	6.80	-
S-ARGAN (Proposed)	6.50	7.44
C-ARGAN (Proposed)	6.46	7.60
PARGAN (Proposed)	6.86	7.89