# Towards Evaluating the Robustness of Neural Networks

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## Adversarial Example



x "panda" 57.7% confidence  $+.007 \times$ 



"nematode" 8.2% confidence



 $x + \epsilon sign(\nabla_x J(\theta, x, y))$ "gibbon" 99.3 % confidence

### Contents

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  - More effective than previous methods

#### Formalization

• Neural network as a function  $g: X \to Y$ 

 $g(\mathbf{x}) = f_L(f_{L-1}(\dots((f_1(\mathbf{x})))))$  (Typically softmax() as last layer.)

- The goal of an adversary in evasion attack
  - Given  $\mathbf{x} \in X$  and  $g(\cdot)$  , find an  $\mathbf{x}' \in X$  such that:

Untargeted:  $g(\mathbf{x}) \neq g(\mathbf{x}') \land \Delta(\mathbf{x}, \mathbf{x}') \leq \varepsilon$ Or <u>targeted</u>:  $g(\mathbf{x}) = l \land \Delta(\mathbf{x}, \mathbf{x}') \leq \varepsilon$ 

#### **Distance** Metric

- *L*<sup>p</sup>-norm  $||x||_p = (|x_1|^p + |x_2|^p + \dots + |x_n|^p)^{\frac{1}{p}}$ 
  - L²-norm $\|x\|_2 = ig(x_1^2 + x_2^2 + \dots + x_n^2ig)^{rac{1}{2}}$
  - $\mathcal{L}^{\infty} ext{-norm} = \max\left\{ |x_1|, |x_2|, \dots, |x_n| 
    ight\}$
  - $L^{0}$ -" norm"  $|x_1|^0 + |x_2|^0 + \dots + |x_n|^0$  ( $0^0 = 0$ ) • ...

Which one is the best for vision task?

# Existing Attacks

- L<sup>2</sup> Adversary
  - L-BFGS
- L<sup>∞</sup> Adversary
  - Fast Gradient Sign Method
  - Iterative Gradient Sign Method
- L<sup>0</sup> Adversary
  - Jacobian-based Saliency Map Approach

• Straightforward form, difficult to solve directly.

minimize 
$$||x - x'||_2^2$$
  
such that  $C(x') = l$   
 $x' \in [0, 1]^n$ 

• Revised form

minimize 
$$c \cdot ||x - x'||_2^2 + \log_{F,l}(x')$$
  
such that  $x' \in [0, 1]^n$ 

- Softmax-cross-entropy loss
- Using L-BFGS-B as solver, which supports box constraints.
- Try many values of c to get the minimum  $L^2$

#### $L^{\infty}$ Adversary: FGSM & iterative

• Fast Gradient Sign Method

$$x' = x - \epsilon \cdot \operatorname{sign}(\nabla \operatorname{loss}_{F,t}(x))$$



• Iterative Gradient Sign Method

$$\begin{aligned} x'_{0} &= x \\ x'_{i} &= \operatorname{clip}_{\epsilon}(x'_{i-1} - \alpha \cdot \operatorname{sign}(\nabla \operatorname{loss}_{F,t}(x'_{i-1}))) \end{aligned}$$

# L<sup>0</sup> Adversary: JSMA

- Jacobian-based Saliency Map Approach (JSMA)
- Basic idea: find the most influential pixels and change to maximum or minimum
- Iterative algorithm:
  - 1. If misclassified, terminate.
  - 2. Calculate the saliency map (Jacobian matrix).
  - 3. Pick a pair of pixels that will ①enlarge the score of target label and ②lower the score on other labels.
  - 4. Modify the pixel pair to maximum (or minimum) values. Goto 1.

## Carlini's Attacks

- L<sup>2</sup> Adversary
- L<sup>0</sup> Adversary
- L<sup>∞</sup> Adversary

# Carlini's L<sup>2</sup> Adversary

Using logits-based objective instead of softmax-cross-entropy loss.

$$C(x+\delta) = t \text{ if and only if } f(x+\delta) \le 0$$
  
$$f_6(x') = (\max_{i \ne t} (Z(x')_i) - Z(x')_t)^+$$

• Handle box constraint by changing variables.

$$\delta_i = \frac{1}{2}(\tanh(w_i) + 1) - x_i$$



- Since  $-1 \leq anh(w_i) \leq 1$  , we have  $0 \leq x_i + \delta_i \leq 1$
- More options on optimizers: Adam.

- Final form: minimize  $\|\frac{1}{2}(\tanh(w) + 1) - x\|_2^2 + c \cdot f(\frac{1}{2}(\tanh(w) + 1))$  $f(x') = \max(\max\{Z(x')_i : i \neq t\} - Z(x')_t, -\kappa)$
- How to choose c?
  - Too large, always gets  $f(x^*) \leq 0$  , but the L2 distance might be large.
  - Too small, may not get  $f(x^*) \leq 0$  , attack fails.
  - Binary search!
- Another trick: Multiple starting-point gradient descent.

# Carlini's L<sup>0</sup> Adversary

- Find out unimportant pixels and fix the values, iteratively.
- Iterative algorithm:
  - 1. Run L2 adversary on x' and restore the fixed pixels, terminate if attack fails.
  - 2. Compute  $g = \nabla f(x + \delta)$
  - 3. Select pixel  $i = \arg \min_i g_i \cdot \delta_i$  and fix it. Goto 1.
- How to select c for L2?
  - Search from a very low value until L2 is successful. Double c till threshold.
- Warm-start trick.
- Compared with JSMA
  - Grows Vs. Shrinks an allowed set; Less like salt and pepper perturbations.

## Carlini's *L*<sup>∞</sup> Adversary

• Naïve form

minimize 
$$c \cdot f(x+\delta) + \|\delta\|_{\infty}$$

- Only penalize the (single) largest entry, easy to get oscillating.
- Revised form minimize  $c \cdot f(x + \delta) + \sum_{i} \left[ (\delta_i \tau)^+ \right]$ 
  - Reduce tau (x0.9) iteratively if all entries smaller than tau.
  - Choose c: the same as LO
  - Warm-start iteration.

## **Experimental Results**

- Produce adversarial examples with smaller L<sup>p</sup>
  - Logits-based objective function instead of loss
  - Handle box constraint by using tanh()
  - Tricks: warm-start search, multi starting points.
  - ...
- Effective on Defensive distillation.
  - Bypassing softmax().
- Significantly slower (not suited for adversarial training)
  - $L^0: 2x 10x$  slower than optimized JSMA
  - $L^2$  and  $L^{\infty}$ : 10x 100x slower.

### Conclusion

- Improved  $L^2$ ,  $L^{\infty}$  and  $L^0$  attack methods.
- Proved defensive distillation is not a good defense.
- Towards evaluation of robustness.